

# Unintended Pregnancy, Abortion and Postabortion Care In Uttar Pradesh, India—2015

Chander Shekhar, Aparna Sundaram, Rubina Hussain, Manas R. Pradhan and Shveta Kalyanwala

## Key Points

- An estimated 3.2 million abortions occurred in Uttar Pradesh in 2015. These included safe and unsafe abortions, and those taking place both in health facilities and in other settings. The state's abortion rate was 61 terminations per 1,000 women of reproductive age.
- The large majority of abortions (83%, or 2.6 million) took place in nonfacility settings using medical methods of abortion, and 5% (162,600) were performed outside of health facilities using other methods.
- Only 11% of abortions (359,100) occurred in health facilities. Private facilities provided most facility-based terminations (65%).
- An estimated 6,252 facilities in Uttar Pradesh provided abortion-related care (induced abortion, postabortion care or both types of services) in 2015; 25% were public and 75% were private. Sixty-nine percent of public facilities reported offering no abortion-related care.
- Most health facility abortions (95%) took place in the first trimester of pregnancy (up to 12 weeks' gestation), and more than half (68%) occurred at less than eight weeks' gestation. The majority were performed surgically using manual or electric vacuum aspiration (20%) or either dilatation and evacuation or dilatation and curettage (37%).
- Although more than seven in 10 women of reproductive age in Uttar Pradesh live in rural areas, only 29% of facilities that provide any abortion-related services were located in rural areas. Among facilities that offered such services, 12% of hospitals—the facilities best equipped to handle severe complications or later-term abortions—were located in rural areas.
- Nearly half (49%) of pregnancies occurring in Uttar Pradesh in 2015 were unintended. The majority (64%) of these unintended pregnancies ended in an abortion.





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### ACKNOWLEDGMENTS

This report is part of a larger study titled Unintended Pregnancy and Abortion in India (UPAI), which was conducted to provide much-needed information on the incidence of induced abortion and pregnancy, as well as access to and quality of safe abortion services, in six Indian states.

The authors thank the technical advisory committee that provided guidance throughout the project. It was chaired by Purushottam Kulkarni, formerly of Jawaharlal Nehru University, and its members were Dinesh Agrawal, independent consultant; Sushanta Banerjee, Ipas Development Foundation (IDF); Manju Chhugani, Jamia Hamdard University; Kurus Coyaji, King Edward Memorial Hospital; Ravi Duggal, International Budget Partnership; Sharad Iyengar, Action Research and Training for Health; Sunitha Krishnan, St. John's National Academy of Health Sciences; Mala Ramanathan, Sree Chitra Tirunal Institute for Medical Sciences and Technology; T.K. Roy, independent consultant; Nozer Sheriar, Hinduja Healthcare Surgical and Holy Family Hospitals; Leela Visaria, Gujarat Institute of Development Research; and Ministry of Health and Family Welfare representatives Dinesh Biswal, Veena Dhawan, Sumita Ghosh, Vandana Gurnani, Manoj Jhalani, Rakesh Kumar, Manisha Malhotra, C.K. Mishra and Arun Kumar Panda.

The authors also thank the following colleagues from other organizations who provided guidance or information along the way: Kalpana Apte and Armin Neogi, Family Planning Association of India; Alok Banerjee and Sudha Tewari, Parivar Seva Santha; Amit Bhanot, Mahesh Kalra and Hanimi Reddy Modugu, Hindustan Latex Family Planning Promotion Trust; Sunitha Bhat and Vivek Malhotra, Population Health Service India; Todd Callahan, Christopher Purdy and Michele Thorburn, DKT International; V.S. Chandrashekar, Foundation

for Reproductive Health Services India; Kathryn Church and Barbara Reichwein, Marie Stopes International, London; Ram Parker, Janani; Mahesh Puri, Center for Research on Environment Health and Population Activities; Faujdar Ram, formerly of the International Institute for Population Sciences (IIPS); and Usha Ram and L. Ladusingh, IIPS.

In addition, the authors are grateful for the suggestions and advice offered by the following colleagues who reviewed one or more drafts of the manuscript: Nadia Diamond-Smith, University of California, San Francisco; Amy Tsui, Johns Hopkins University; and Saumya Ramarao, Population Council.

This report was written by Chander Shekhar and Manas R. Pradhan, IIPS; Aparna Sundaram and Rubina Hussain, Guttmacher Institute; and Shveta Kalyanwala, independent consultant. It was edited by Susan London and Haley Ball. The authors thank the following Guttmacher colleagues for their comments and help in developing this report: Akinrinola Bankole, Sneha Barot and Gustavo Suarez, for reviewing a draft of the report; and Marjorie Crowell, Shivani Kochhar and Zoe Pleasure, for research support.

This report was made possible by grants to the Guttmacher Institute from the Government of UK Department for International Development (until 2015), the David and Lucile Packard Foundation, the John D. and Catherine T. MacArthur Foundation, and the Ford Foundation. The Guttmacher Institute gratefully acknowledges the unrestricted funding it receives from individuals and foundations—including major grants from the William and Flora Hewlett Foundation and the David and Lucile Packard Foundation—which undergirds all of the Institute's work. The views expressed do not necessarily reflect the positions or policies of the donors.

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Suggested citation: Shekhar C et al., *Unintended Pregnancy, Abortion and Postabortion Care in Uttar Pradesh, India—2015*, New York: Guttmacher Institute, 2018,

<https://www.guttmacher.org/report/unintended-pregnancy-abortion-postabortion-care-uttar-pradesh-india-2015>.

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# Context of Abortion in India and The State of Uttar Pradesh

**A**lthough abortion is legal in India, evidence on how many abortions occur and under what circumstances they are performed is limited. Official incidence statistics come from the Family Welfare Yearbook published by the Ministry of Health and Family Welfare (MoHFW), Government of India; these statistics underestimate abortion incidence because coverage of facility-based services is incomplete and many abortions take place outside of a facility setting.<sup>1</sup> The most recent (and most commonly cited) study to have estimated abortion incidence in India was based on a small sample of public facilities and likely underestimated the true magnitude.<sup>2</sup> The data in this study are also now more than 15 years old, and demographic factors that influence abortion and conditions surrounding its provision have changed markedly over this period.<sup>3-5</sup> Until now, the country has lacked a large-scale study of abortion service provision in both public and private health facilities that documents the care provided and its quality, captures nonfacility abortions, and gives reliable estimates of the incidence of abortion and unintended pregnancy.

To fill this gap in data, we undertook a rigorous study, titled Unintended Pregnancy and Abortion in India (UPAI), which employs a modified version of the widely used abortion incidence complications methodology.<sup>6-8</sup> It covers six states of India—Assam, Bihar, Gujarat, Madhya Pradesh, Uttar Pradesh and Tamil Nadu—that together account for about 45% of the country's population and were chosen to represent each region of the country. For each state, the study

- provides representative, in-depth information on the characteristics of abortion-related services (induced abortion and postabortion care) provided by each type of public- and private-sector facility in 2015;
- uses facility-based abortion data from the six states and national data on medical methods of abortion (MMA) sales to estimate abortion incidence; and
- uses abortion incidence data to estimate levels of unintended pregnancy, an important indicator of women's ability to regulate their fertility.

This report focuses on Uttar Pradesh, first providing detailed findings on facility-based abortion and postabortion services in the state, then using these and other data to formulate estimates of the incidence of abortion and unintended pregnancy. In the final section of the report,

we offer recommendations to facilitate planning for improvements in the accessibility and provision of safe, high-quality abortion services. Visit <https://www.gutmacher.org/report/unintended-pregnancy-abortion-postabortion-care-uttar-pradesh-india-2015> to find more resources produced as part of this study.

## Abortion law in India

Induced abortion has been legal in India on a broad range of grounds since the Medical Termination of Pregnancy (MTP) Act was passed in 1971. According to this law, abortion is permitted up to 20 weeks' gestation when it is necessary to save a woman's life or protect her physical or mental health, and in cases of economic or social necessity, rape, contraceptive failure among married couples and fetal anomaly.<sup>9</sup> Pregnancies beyond 20 weeks may be terminated in cases of life endangerment. The MTP Act mandates that abortions take place in safe and hygienic conditions at approved facilities and be performed by certified providers. Providers eligible for certification are limited to obstetrician-gynecologists or doctors with a bachelor of medicine, bachelor of surgery (MBBS) degree who have undergone MTP training.

All public facilities at the primary health centre (PHC) level or higher are approved to provide abortions, as long as they have a certified provider on staff. Facilities lacking the necessary equipment are expected to have referral linkages to higher-level sites. Private facilities, on the other hand, must become registered to provide legal abortion services, a process that entails meeting criteria specified by the MTP Act. Registration is difficult, in part because the District Level Committees responsible for approving private facilities do not exist in some areas and may meet infrequently in others. Several small-scale studies have found that many private facilities that provide abortion services are not approved to do so.<sup>10-13</sup> However, lack of registration does not imply that the abortions provided in those facilities are unsafe, as unregistered facilities may have qualified, trained staff performing safe abortions.

The MTP Act of 1971 has been amended to address advances in abortion methods, and these and other policy changes have contributed to expanding access to MMA, which, in India, refers primarily to the use of a combined regimen of misoprostol and mifepristone (whether packaged separately or together in a "combipack"). The use of MMA to terminate pregnancies up to seven weeks'

gestation was approved in 2002; subsequent amendments to the MTP Act and accompanying rules allowed private providers to become certified to offer abortion and permitted certified abortion providers with referral linkages to approved facilities to offer MMA, even while working at unapproved facilities (thus facilitating prompt action in case of complications).<sup>\*14–16</sup> The amendments also attempted to improve the process of registering private facilities by speeding it up and shifting responsibility from the state to District Level Committees. In 2008, the combipack, which contains 200 mg of mifepristone and 800 mcg of misoprostol, was approved in India.<sup>17</sup> Amendments to the MTP Act have been proposed that would expand the range of providers legally able to offer early first-trimester abortion to include nurses and auxiliary nurse midwives (ANMs), as well as practitioners trained in Indian systems of medicine with recognized qualifications; allow terminations at a woman's request up to 12 weeks' gestation; allow abortion in cases of contraceptive failure for all women, regardless of marital status; increase the gestational age limit for abortion to 24 weeks for certain vulnerable groups; and remove the gestational age limit for terminations sought because of diagnosed fetal abnormality.<sup>18</sup> At the time of this writing, none of these proposed amendments had been passed.

Policies have also been written to address the use of sex-selective abortions. Cultural norms and discriminatory practices that favor males, including sex-selective abortion, have resulted in an imbalance in the sex ratio in India: As of 2014–2016, there were 848 females per 1,000 males at birth.<sup>19</sup> To ameliorate this imbalance, the government enacted the Pre-Natal Diagnostic Techniques Act in 1994,<sup>20</sup> amended in 2003 to become the Pre-Conception and Pre-Natal Diagnostics Techniques (PCPNDT) Act, which prohibits the misuse of prenatal diagnostic tests for the purpose of sex determination.<sup>21,22</sup> Challenges remain, however, in simultaneously addressing gender-biased sex selection while protecting access to legal abortion services. The Government of India's strict measures to enforce the PCPNDT Act, as well as intense public focus on this issue in recent years, has generated the misperception among women and providers that all abortions are illegal, and has thus led to difficulties in both obtaining and providing safe abortion and postabortion care.

In addition, facility-based provision of safe abortion services is hampered, at least in the public sector, by shortages of trained personnel, lack of necessary equipment and frequent transfers of trained providers to unequipped facilities. The 2012–2013 District Level Household and Facility Survey found that in the country as a whole, 26% of district hospitals and 77% of community health centres (CHCs) did not have a gynecologist on staff.<sup>23</sup> In the private sector, as well, providers lack training opportunities to learn how to perform

abortions, and trained providers may not work in facilities that are registered to provide the service.<sup>10</sup>

## Sexual and reproductive health and abortion in Uttar Pradesh

Abortion and unintended pregnancy are closely linked to contraceptive use and other indicators of women's status, such as age at marriage, literacy and level of urbanization, all of which may be associated with women's and couples' desire to control the timing of their births and to limit their fertility.

As one of India's most socioeconomically disadvantaged states, Uttar Pradesh is among the eight states included in the Empowered Action Group targeted for special government interventions to address rural health. Of the 51.6 million women of reproductive age (15–49 years old) in the state in 2015,<sup>24</sup> 74% lived in rural areas (Table 1, page 6).<sup>25</sup> Among all people residing in the state in 2011–2012, 29% lived in poverty.<sup>26</sup> Unsurprisingly, the state lags behind the rest of the country on various measures of sexual and reproductive health.

According to data from the 2015–2016 National Family Health Survey (NFHS-4), only 32% of all married women of reproductive age in Uttar Pradesh were using a modern contraceptive method, compared with 48% in India as a whole.<sup>25,27</sup> This proportion for Uttar Pradesh has increased slightly over the past decade, from 29% in 2005–2006.<sup>28</sup> The majority of married women who practice modern contraception use female sterilization (55%);<sup>25</sup> although this method is appropriate for women who want to cease childbearing, it does not address the needs of women seeking to space births.

The proportion of women with an unmet need for contraception—married women who are able to become pregnant and want to prevent pregnancy for at least two years but who are not using contraceptives—declined slightly over the past decade, from 21% to 18%.<sup>25,28</sup> Nonetheless, the level of unmet need remains high, especially compared with 13% for India as a whole.<sup>27</sup> Unwanted fertility in Uttar Pradesh was also substantial in 2015–2016: On average, women in the state wanted 2.1 children but had 2.7. There has been some change over time in the gap between the rates of wanted and total fertility: It dropped from 1.5 children in 2005–2006 to the current gap of 0.6.<sup>25,28</sup>

Data on the timing of marriage show that the median age at first marriage for women aged 20–49 in Uttar

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\*In 2010, the MoHFW's Comprehensive Abortion Care Training and Service Delivery Guidelines for providing comprehensive abortion care indicated in a footnote that MMA up to 63 days' gestation is safe. However, amendments to the MTP Act that would reflect this modification are still awaiting passage in Parliament.

TABLE 1

### Trends in contraceptive use and fertility preference indicators from National Family Health Surveys, Uttar Pradesh, 1998–1999, 2005–2006 and 2015–2016

Indicator	1998–1999	2005–2006	2015–2016
<b>Contraceptive use among married women 15–49</b>			
% using any method	28.1	43.6	45.5
% using modern methods	22.0	29.3	31.7
% using traditional methods	5.7	14.3	13.8
<b>Unmet need for contraception among married women 15–49</b>			
% with unmet need for spacing	11.8	9.1	6.8
% with unmet need for limiting	13.4	12.1	11.2
<b>Fertility rate among women 15–49</b>			
Wanted	2.8	2.3	2.1
Total	4.0	3.8	2.7
<b>Timing of marriage</b>			
Median age at first marriage (in years) among women 20–49	15.3	16.2	18.5
% never married among women 15–19	60.1	74.2	91.4
% never married among women 20–24	13.0	18.0	39.3
<b>% illiterate among women 15–49</b>	58.5	55.0	39.0
<b>Residence among women 15–49</b>			
% urban	23.3	25.8	26.4
% rural	77.7	74.2	73.6

NOTE: Proportions presented in the text, figures and tables may differ slightly because of rounding. SOURCES: references 25, 28 and 30.

Pradesh increased substantially over time, from about 16 years in 2005–2006 to almost 19 years in 2015–2016.<sup>25,28</sup> More than 90% of women aged 15–19 were not married at the time of that last survey, compared with 74% in the previous survey. The increase in age at marriage has likely contributed to the decline in the total fertility rate and to trends in related indicators.

Rising literacy is generally associated with an increase in women's role in decision making regarding matters such as contraceptive use, timing of births and family size. NFHS data show that women in Uttar Pradesh made important gains in literacy over the past decade: The proportion of those aged 15–49 who were illiterate dropped from 59% in 1998–1999 to 39% in 2015–2016.<sup>25,29</sup> Further analyses are needed to understand the relationship between gains in literacy in the state and sexual and reproductive health behaviors.

A few previous studies have provided estimates related to the incidence of abortion in Uttar Pradesh, but each has

relied on incomplete sources of data. The government's most recent estimate was 51,467 induced abortions per year, as of 2014–2015,<sup>1</sup> but that figure excludes terminations provided outside of health care facilities, as well as some provided in private health facilities. Studies estimating the proportion of pregnancies that end in an abortion in the state have come up with disparate numbers. Data from the 2007–2008 District Level Health Survey (DLHS-3) showed that about 2% of all pregnancies ended in an induced abortion, indicating that about 5% of all reproductive-age women in Uttar Pradesh terminated a pregnancy.<sup>30,31</sup> More recent data from the 2012–2013 Annual Health Survey showed that 7% of pregnancies among women aged 15–49 years ended in an abortion.<sup>32</sup> Because of stigma associated with terminating a pregnancy, women typically underreport their abortions in face-to-face interviews, a problem that may be exacerbated if women believe abortion to be illegal, and therefore, both proportions are likely to be underestimates.

The estimation methodology used in the UPAI study improves on those of previous studies because it does not rely on incomplete official statistics and instead uses direct measurement approaches that are feasible in the current Indian context: survey data from a representative

sample of public and private health facilities that provide abortions and national data on sales of MMA (see Survey Methodology, page 8).

Although knowing the number of abortions performed is important because it helps us understand the magnitude of this public health issue (and because it allows for indirect estimation of unintended pregnancy), information on how and where abortion takes place is equally important for policy making and planning. A government report showed that in 2010, about 576 facilities had been approved for abortion in Uttar Pradesh; these represent about 5% of all approved facilities in India.<sup>33</sup> Data from this and other sources indicate that despite having the largest population of all states in the country, Uttar Pradesh has the lowest number of registered abortion clinics per capita—fewer than four per 100,000 population.<sup>34</sup>

A 1997 study comparing abortion services in four Indian states showed that access to abortion is poor in Uttar Pradesh.<sup>35</sup> For example, compared with peers in Gujarat

and Maharashtra, women in Uttar Pradesh had to travel a longer distance to find a facility that provides the service. In addition, a large proportion of rural public health facilities at all levels did not provide abortion, despite being approved to do so.<sup>36</sup> For example, about three-quarters of rural PHCs, which are typically the closest point of service in rural areas, did not provide abortion, nor did close to half of rural CHCs.

As a result of poor access to good-quality abortion care in the most common types of public facilities across the state, women go to informal providers with little or no clinical training or to private facilities, including unregistered ones, to obtain an abortion.<sup>2,35-37</sup> One study indicates that informal providers in Uttar Pradesh may use invasive termination methods, which increase the risk of infection and other complications.<sup>2</sup>

According to a study of the sources of postabortion care in Uttar Pradesh in 1999, women often first saw untrained or inadequately trained providers, some of whom offered inappropriate or unsafe treatments, including teas, powders, injections or surgical methods.<sup>37</sup> The women experienced delays in obtaining care from trained providers, often resulting in both worsened health conditions and higher medical costs—consequences that would likely have been avoided with appropriate initial care. The study also found that postabortion contraceptive care was inadequate.



## Survey Methodology

The UPAI study draws in large part on two surveys conducted in 2015 in six Indian states (Assam, Bihar, Gujarat, Madhya Pradesh, Tamil Nadu and Uttar Pradesh): the Health Facilities Survey (HFS), which collected data from 4,001 public and private health care facilities, and the Health Professionals Survey (HPS), which collected data from 552 key informants knowledgeable about abortion in their district or state.\* These surveys are described briefly below and in greater detail online (see “supplementary materials” at [https://www.thelancet.com/journals/langlo/article/PIIS2214-109X\(17\)30453-9](https://www.thelancet.com/journals/langlo/article/PIIS2214-109X(17)30453-9)). Additional data sources are discussed in the Incidence Methodology on page 21.

### Health Facilities Survey

All public and private medical colleges in the state were surveyed in the HFS, and other types of facilities were selected using the following stratified random sampling strategy. First, approximately 70% of districts in Uttar Pradesh were randomly selected; within these districts, public, private and NGO facilities were identified and sampled. In the public sector, 65% of district hospitals and 19% of CHCs in the selected districts were sampled using lists obtained from the Ministry of Health and Family Welfare. PHCs were identified from among those linked administratively to the selected CHCs; ultimately, 8% of all PHCs in Uttar Pradesh were sampled. In addition, all Employees’ State Insurance Corporation hospitals were included.

Because no comprehensive lists of private and NGO facilities exist, an exercise was conducted in a representative sample of urban and rural areas of the state, with the objective of developing a list of all private and NGO facilities (hospitals, nursing and maternity homes, and clinics) that provide or have the capacity to provide abortion-related services (induced abortion, postabortion care or both). The listing exercise also covered certain public facilities for which no comprehensive lists

were available: railway hospitals, some Employees’ State Insurance Corporation hospitals, military hospitals, municipal hospitals and other urban public facilities (primarily urban health centres). In urban areas, the listing exercise was conducted within a sample of urban wards designed to represent towns and cities of all sizes and to cover approximately 7.5% of Uttar Pradesh’s total urban population; in rural areas, it was conducted within the catchment areas of a representative sample of CHCs.

The listing exercise reliably captured facilities currently providing abortion-related services; however, it may have unintentionally excluded some private and NGO facilities that were not currently providing such services but had the capacity to do so. To ensure consistency across states, only data from those private or NGO facilities that reported providing abortion-related services were used in the results presented in this report. Thus, although the public-sector sample and study findings represent all public facilities, the private-sector results represent only the subset of facilities that were providing abortion-related care at the time of the survey, in 2015. As a result, this study does not measure the proportion of private facilities that are capable of offering abortion services but do not do so.

In Uttar Pradesh, 50 of 70 districts were sampled for inclusion in the HFS, and interviews were conducted at 535 public facilities, as well as at 378 private and eight NGO facilities that were providing abortion-related services. After applying sample weights, these facilities represent all 5,037 public facilities operating in Uttar Pradesh, including 1,569 public facilities likely to be offering abortion-related care, as well as the 4,682 private and NGO facilities offering such care. Because NGOs made up a very small proportion of our sample, they were combined with private facilities in analyses. Our results differentiate among facilities according to public or private ownership and type

(which generally corresponds to facility capacity).† Although we attempted to capture registration status with our HFS questionnaire, it is likely that we did not get completely accurate reporting on this potentially sensitive subject, either because unregistered providers of abortion feared admitting they were not registered or because respondents were not aware of what it means to be registered.

HFS data were collected at the facility using face-to-face structured interviews with a senior health care professional knowledgeable about the provision of abortion-related services at his or her facility—typically, the director or head of the facility or of the obstetrics and gynecology department. In some facilities, the HFS was completed by a doctor, nurse, midwife, facility in-charge or other professional knowledgeable about services at that site. The survey collected information on reproductive health and abortion-related services offered at each facility, including the types of services offered, the number of women who presented for abortion-related care, availability of trained staff, types of postabortion complications treated and facility caseloads.

To qualify for the interview, respondents had to have worked at that facility for at least six months in a capacity in which they would know about the facility’s abortion cases. They were asked to estimate the number of induced abortions in the past month and in an average month (to account for seasonality in abortion demand).‡ For these numbers, we relied on estimates, rather than official documentation, because facilities often do not maintain complete records of services provided (for a variety of reasons, including lack of registration, desire to avoid cumbersome reporting requirements under the MTP Act and desire to avoid paying taxes on the abortions they provide<sup>39</sup>). We also asked facilities to provide their statistics on abortion from their log books so that we could compare their official reports with their estimated numbers,



but not all facilities did so. Therefore, we rely on the estimated numbers from the respondents. In addition to gathering data from health facilities, we also gathered data on abortion provision from the administrative records from all large NGO networks.<sup>§</sup>

### Health Professionals Survey

Data for the HPS were collected using face-to-face interviews with a sample of key informants selected from approximately 50% of the districts in each state. Informants were purposively selected based on their knowledge of and exposure to abortion and women's health issues. Four-fifths were health care providers, including allopathic doctors and nurses, providers from other systems of medicine and pharmacists; one-fifth were other knowledgeable professionals, such as health administrators, academicians, activists, policymakers, lawyers and journalists. Informants were drawn from both the public and private sectors and from both rural and urban areas. The HPS collected information on informants' perceptions of the conditions under which induced abortion services and postabortion care are obtained in their district or state, including the types of providers offering services, the types of abortion methods used, types and severity of complications, access to treatment, and variations in the conditions of abortion provision according to women's socioeconomic status and rural or urban location. In Uttar Pradesh, 120 key informants were interviewed.

\*Throughout this report, the text and figures show proportions as whole rounded numbers, while the tables show them rounded to one decimal place. Thus, there are occasional slight discrepancies between text, figures and tables (for example, the original value 46.47 would appear as 46 in the text but 46.5 in the tables).

†Public facilities were grouped into hospitals (including rural, district, civil, sub-divisional, municipal, tertiary, railway, Employees' State Insurance Corporation and refinery hospitals, and public medical colleges), CHCs (including first referral units and non-first referral units), PHCs (including those that are and are not open 24-7, and block PHCs) and other types of urban public facilities (primarily urban health centres). Private facilities were grouped into hospitals (including multispecialty hospitals, specialized hospitals and private medical colleges), nursing and maternity homes, and clinics.

‡We first converted the data to annual caseloads, multiplying caseloads that were reported for the past and average month by 12, and combining these with responses reported for the past and average year. We then took the average of the number of induced abortions reported in the past and average year as the best estimate of the total annual number of pregnancies terminated in each facility. By applying sample weights, we obtained total estimates at the state level, by type of facility and ownership.

§A few NGOs were included in the HFS private facility sample. We cross-checked these with the compiled list of NGOs to ensure there were no duplicates.

## Provision of Abortion-Related Services

Women’s access to safe, legal abortion-related services depends to a large extent on whether nearby facilities provide such care and what specific types of services are available. These topics are discussed below, and additional details are available in the fact sheet, “Provision of abortion and postabortion services in Uttar Pradesh, 2015.”<sup>39</sup>

In 2015, an estimated 6,252 facilities in Uttar Pradesh were providing any abortion-related care (induced abortion, postabortion care<sup>†</sup> or both types of services); 1,569 facilities (25%) were public and 4,682 (75%) were private (Table 2).<sup>‡</sup> Although 38% of facilities reported offering both induced abortion and postabortion care, 3% restricted their services to the former and 59% to the latter. A large majority of public hospitals (85%) offered both abortion

and postabortion care, whereas the majority of CHCs (57%) and PHCs (81%) offered only postabortion care. Overall, 97% of facilities providing any abortion-related services offered postabortion care and 41% offered induced abortion.

Sixty-one percent of facilities offering abortion-related services in Uttar Pradesh were located in urban areas, although only 26% of the state’s female population resides in these areas.<sup>25</sup> Only 12% of all hospitals—the facilities best equipped to handle severe complications or later-term abortions—were located in rural areas. Among facilities offering any abortion-related care, 54% in rural areas were private, compared with 88% in urban areas (Appendix Table 1).

Among the estimated 5,037 public facilities in Uttar Pradesh, only 31% reported offering induced abortion, postabortion care or both services, whereas 69% offered neither service (Figure 1, page 11). The proportion providing these services varied widely by facility type: Some 66% of public hospitals, 55% of CHCs and 24% of PHCs

<sup>†</sup>Unless otherwise specified, the term postabortion care refers to care related to complications of both abortion and miscarriage.

<sup>‡</sup>Component numbers do not add exactly to the total here because of rounding.

TABLE 2

### Number and percentage distributions of facilities offering induced abortion, postabortion care or both, by services offered and location, Uttar Pradesh, 2015

Facilities	No. offering any abortion-related services		% distribution by type of service offered				% distribution by location		
	Unweighted	Weighted	Abortion only	Postabortion care only	Both	Total	Urban	Rural	Total
<b>All</b>	619	6,252	3.1	58.8	38.1	100.0	61.5	38.5	100.0
<b>Public</b>	233	1,569	3.0	64.9	32.1	100.0	30.1	69.9	100.0
Hospitals	76	166	0.0	15.5	84.5	100.0	97.3	2.7	100.0
CHCs	81	471	3.4	57.1	39.5	100.0	31.2	68.8	100.0
PHCs	67	854	3.6	81.0	15.4	100.0	10.1	89.9	100.0
Urban public	9	78	0.0	42.0	58.0	100.0	100.0	0.0	100.0
<b>Private</b>	386	4,682	3.1	56.8	40.1	100.0	72.0	28.0	100.0
Hospitals	58	645	2.4	48.9	48.7	100.0	85.3	14.7	100.0
Nursing and maternity homes	171	2,211	3.0	47.2	49.8	100.0	69.4	30.6	100.0
Clinics	157	1,826	3.6	71.1	25.3	100.0	70.5	29.5	100.0

NOTES: Postabortion care refers to care for complications resulting from either induced abortion or miscarriage. CHC=community health centre. PHC=primary health centre. Proportions presented in the text, figures and tables may differ slightly because of rounding. SOURCE: Health Facilities Survey.

provided any abortion-related care in 2015. We cannot provide this breakdown for private facilities because our listing of private facilities mainly included those providing abortion-related care.

Among all public and private facilities that offered induced abortion services in 2015, 63% offered both MMA and surgical methods, 27% offered only MMA, and 10% offered only surgical methods (Appendix Table 2). A slightly higher proportion of private facilities (64%) than public facilities (57%) offered both types of methods.

### Facilities not offering induced abortion

Among facilities whose abortion-related services were restricted to postabortion care, the reasons reported for not offering induced abortion varied according to public or private ownership. Public facilities offering only

postabortion care commonly cited lack of trained staff (83%) and lack of equipment or supplies (63%) as reasons for not offering induced abortion (not shown). In contrast, private facilities offering only postabortion care most commonly reported lack of registration to provide abortion (51%), lack of trained staff (43%), religious or social reasons (39%) and lack of equipment or supplies (29%).

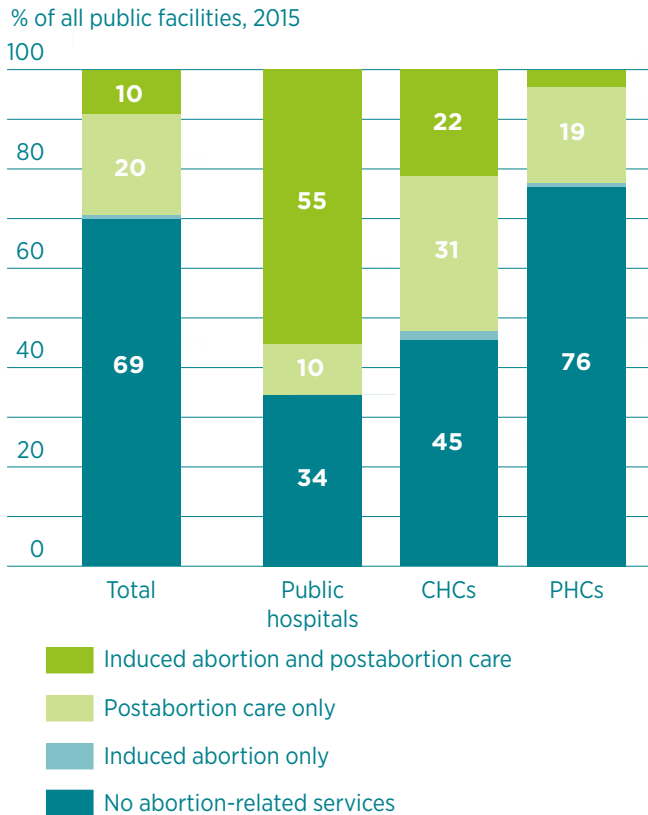
### Availability of postabortion care

Because medical complications can occur at any time of the day or night, an important indicator of access to postabortion care is whether the facility is open 24 hours a day, seven days a week. In Uttar Pradesh, among facilities providing postabortion care in 2015, 61% (including 90% or more of public hospitals, CHCs and private hospitals) offered care 24-7 (Appendix Table 3). About half of public facilities (52%) providing postabortion care offered it around the clock, compared with 64% of such private facilities. Availability of 24-7 postabortion care was greater among facilities offering these services in urban areas (66%) than in rural areas (53%).

FIGURE 1

#### PUBLIC PROVISION

**Only 31% of all public facilities in Uttar Pradesh offer any abortion-related services.**



NOTES: CHC=community health centre. PHC=primary health centre. Because of rounding, proportions may not add to 100 and may differ slightly from those in the text and tables. SOURCE: Health Facilities Survey.

# Induced Abortion Services Provided in Facilities

By gathering data from a sample of health facilities providing abortion, we were able to estimate the total number of facility-based abortions provided in Uttar Pradesh in 2015. Knowing what methods facilities use is an important part of understanding the abortion landscape, as nearly all surgical abortions—as well as a portion of those using MMA—are provided at facilities.

An estimated 346,400 induced abortions were provided in health facilities in Uttar Pradesh in 2015 (Figure 2 and Appendix Table 4). This estimate was derived by summing the weighted number of abortions provided by each facility type that year. (Abortions performed outside of health facilities are discussed later in this report.) The majority of terminations provided by NGOs are not included here because we obtained those data from administrative sources and not through our facility-based survey; therefore, we have less detail on those abortions. Only 33% of all facility-based abortions occurred in the public sector: Sixty-nine percent of these were provided in hospitals, 13% in CHCs, 10% in PHCs and 8% in urban public facilities. The majority—67%—of facility-based

induced abortions in 2015 took place in the private sector. Of these, 60% were provided in nursing and maternity homes, 23% in hospitals and 18% in clinics.

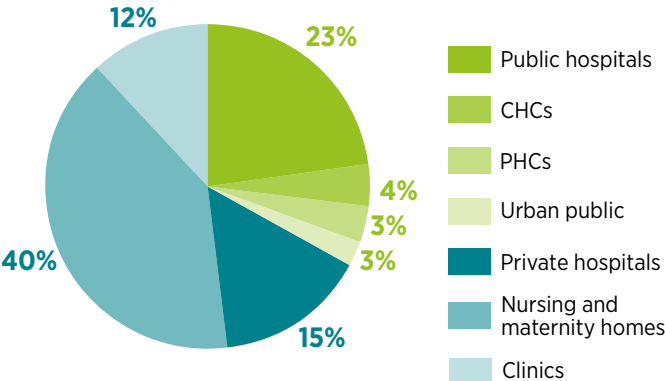
On average, each public hospital provided about 570 induced abortions in 2015; both CHCs and PHCs averaged 70 abortions. Private hospitals provided about 160 induced abortions, while private nursing and maternity homes and clinics had caseloads of 120 and 80 abortions per facility, respectively.

Close to one-third of the induced abortions provided in private-sector facilities in Uttar Pradesh—21% of all facility-based abortions in the state—were performed in private facilities that HFS respondents reported as not being registered to offer that service. Of these, 5% were provided in unregistered private hospitals, 51% in unregistered nursing and maternity homes and 44% in unregistered private clinics. That a sizeable share of private-sector abortions were performed at unregistered sites may imply that facilities are encountering barriers to registration.

FIGURE 2

## SETTINGS OF ABORTION PROVISION

**One-third of women obtaining a facility-based abortion go to a public facility.**



**346,400 facility-based abortions, 2015**

NOTES: CHC=community health centre. PHC=primary health centre. Total excludes abortions provided by some NGOs. Proportions presented in the text, figures and tables may differ slightly because of rounding. SOURCE: Health Facilities Survey.

## Timing of abortion and facilities' gestational limits

Nearly all (95%) of the facility-based induced abortions taking place in Uttar Pradesh in 2015 were performed in the first trimester (i.e., the first 12 weeks of gestation): 68% in the first seven weeks of gestation and 27% in weeks 8–12 (Appendix Table 5). Only 6% of facility-based abortions occurred beyond 12 weeks.

At most types of public and private facilities, 87–100% of induced abortions occurred in the first trimester. PHCs, CHCs, urban public facilities and private clinics had a higher proportion of abortions at this gestation than other facility types. The proportion of abortions taking place in the second trimester (13–22 weeks' gestation) was highest at public hospitals (12%).

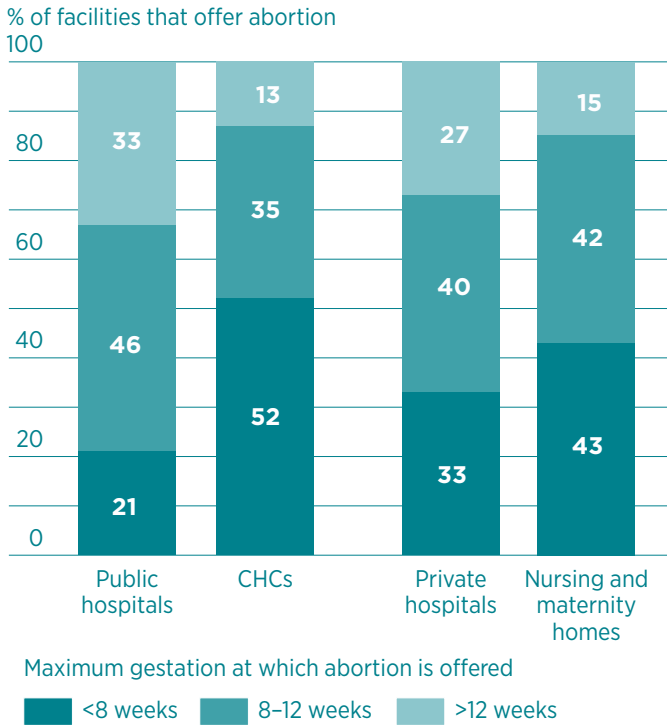
Although abortion is legally permitted up to 20 weeks' gestation in facilities approved for second-trimester abortion, many facilities set earlier gestational limits. Among facilities providing abortion in Uttar Pradesh, 84% reported offering terminations in the first trimester only, including 67% of public hospitals, 73% of private hospitals and 85% of nursing and maternity homes (Figure 3).<sup>5</sup> Across

<sup>5</sup>Data based on responses to a question about facility capacity, not on actual cases.

FIGURE 3

**GESTATIONAL LIMITS**

**The large majority of facilities providing abortion restrict provision to the first trimester of pregnancy.**



NOTES: The first trimester is weeks 0-12. CHC=community health centre. Because of rounding, proportions may not add to 100 and may differ slightly from those in the text and tables. SOURCE: Health Facilities Survey.

facilities, the proportion offering abortion services beyond that gestation was highest among public hospitals.

Among facilities offering abortion only in the first trimester, the most commonly cited reasons for not offering second-trimester terminations were fear of providing sex-selective abortions (41%), lack of trained staff or providers (33%), lack of registration for abortion provision at that gestation (30%), lack of blood storage facilities (26%) and lack of equipment and supplies (28%; not shown). The proportions reporting reasons related to sex-selective abortion and registration were higher among private facilities than among public facilities, while the proportions lacking trained providers, blood storage facilities, and equipment and supplies were higher among public sites. Inadequate infrastructure was cited by 11% of public facilities not offering second-trimester abortions but by only 7% of corresponding private facilities. The proportion citing lack of trained providers as a reason for not providing terminations in the second trimester was typically larger among PHCs and urban public facilities than higher-level facilities.

**Abortion methods used in facilities**

Among facilities that provided induced abortion in Uttar Pradesh in 2015, the majority (63%) offered both MMA and surgical procedures. However, facilities offering abortion often reported using methods that are not in line with best practices. World Health Organization (WHO) guidelines recommend the use of MMA or vacuum aspiration for most abortions; dilatation and evacuation (D&E) is recommended in situations in which the other methods are contraindicated (typically in the second trimester), and dilatation and curettage (D&C) is no longer recommended as an abortion method at any gestation.<sup>40</sup>

Among abortions occurring in health facilities in Uttar Pradesh, 43% were performed using MMA (Figure 4, page 14). The proportion using MMA was highest at PHCs and private clinics—81% and 74% of abortions, respectively (Appendix Table 6). Twenty percent of facility-based abortions were performed with either manual or electric vacuum aspiration (MVA or EVA), which are the least invasive surgical methods, and 37% involved more invasive surgical procedures: D&C or D&E. We have grouped these latter two methods together because providers may use D&C as a generic term for surgical abortion; therefore, individual proportions may not be reliable.<sup>41\*\*</sup>

The share of abortions performed using D&C or D&E varied according to whether facilities were public (43%) or private (34%). These methods together were more commonly used than vacuum aspiration at PHCs, urban public facilities, private unregistered nursing and maternity homes, and private unregistered clinics. The proportion of abortions using D&C or D&E in urban public facilities was greater than that in public hospitals (55% vs. 47%). Given that only 5% of facility-based abortions occurred after the first trimester, we can infer that on the whole, providers in Uttar Pradesh are relying on more invasive and riskier abortion techniques than they should be at early gestations.

**Barriers to seeking safe abortion services**

When asked what barriers women face when seeking safe abortions, HFS respondents reported cost of services (67%), fear of stigma (62%), objections from a family member (49%), and distance or transportation difficulties (43%; data not shown). Sixty percent felt that women face multiple barriers (three or more) to obtaining a safe abortion. Respondents from public and private facilities had similar perceptions of the barriers women face, except respondents from private facilities more often reported that cost was a barrier for women (72%) than did

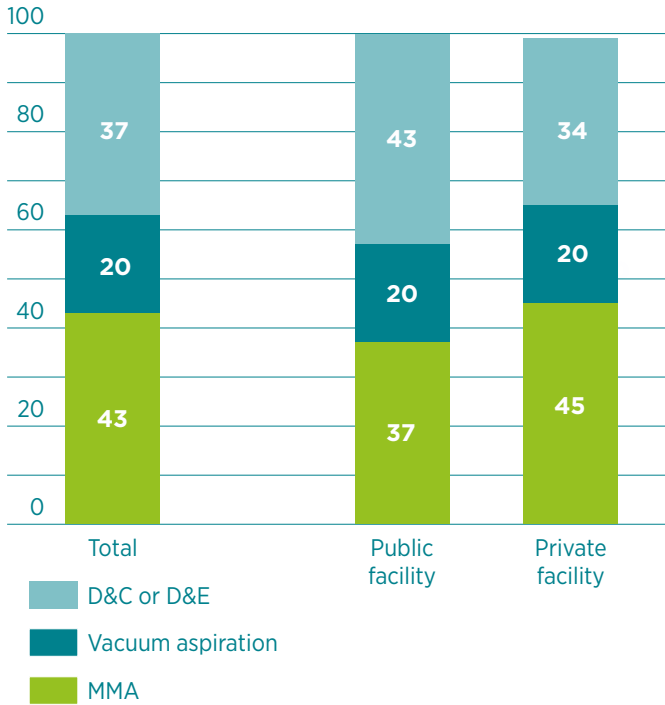
\*\*Providers reported 30% of women obtaining facility-based abortions underwent abortions using D&C and 7% underwent D&E.

FIGURE 4

**ABORTION METHODS**

**Most women in Uttar Pradesh who obtain a facility-based abortion have a surgical procedure.**

% of women who obtain a facility-based abortion



NOTE: MMA=medical methods of abortion. D&C=dilatation and curettage. D&E=dilatation and evacuation. Because of rounding, proportions may not add to 100 and may differ slightly from those in the text and tables. SOURCE: Health Facilities Survey.

respondents from public facilities (60%).

Women seeking abortions at health facilities may encounter a different set of barriers, and these are typically related to the facility or staff being unable or unwilling to provide the abortion under some circumstances. In Uttar Pradesh, 81% of facilities that provide abortion reported turning away at least one woman seeking a termination in the year preceding the survey; among this group of facilities, 77% cited capacity-related reasons (provider not available, MMA not available or facility gestational limits exceeded; data not shown). In addition, staff at health facilities providing abortion services either may not be well informed about the conditions under which termination is legally permitted, or may have social or personal reasons for choosing to not offer it to some women. For example, among the facilities that reported having turned away at least one woman seeking an abortion, 21% did so because women did not obtain consent from a partner or other family member, and 44% did so because they were unmarried or had no children or because the provider deemed them too young.



## Provision of Postabortion Care

When induced by prescription drug or performed surgically by a trained provider under hygienic conditions, abortion is very safe. However, because abortions occur in a variety of settings in Uttar Pradesh—including at registered and unregistered health facilities, as well as outside of the health care system—the safety of the method used and the incidence of complications vary. In the Health Professionals Survey, key informants said that, particularly in rural areas, some women resort to methods such as herbal solutions, homeopathic medication, abdominal massage or pressure, and insertion of solid or sharp objects into the vagina, cervix or uterus.

It is important to assess the extent to which unsafe abortions are occurring, as well as the incidence of complications, but doing so is difficult because unsafe abortion is often clandestine and is not captured in official reporting. Representative estimates from the HFS of the amount of complication-related care provided by health facilities offer a good indicator of the incidence of unsafe abortion, although it is an underestimate because it excludes women who need facility-based care and do not receive it. This underestimate is partly offset because some women or providers may misdiagnose bleeding, which is part of the normal process after a medication abortion, as an abortion complication.<sup>42</sup> These women are included in providers' reports as having had a complication, although their abortion likely would have been completed safely without the additional medical care.

According to 2015 HFS data, about 1,224,400 women in Uttar Pradesh were treated for complications that resulted from either induced abortion or miscarriage (Table 3); this care took place in the estimated 6,057 public and private facilities providing postabortion care in the state. Of these women, we estimated that 1,098,000 were treated for complications resulting

from induced abortion, and 126,400 were treated for complications related to miscarriage (discussed in greater detail on page 17). Among all patients treated for complications, 29% obtained treatment in public facilities, while the remaining 71% went to private facilities. The number of women treated for postabortion complications at public facilities was about three times the number who obtained induced abortions at these facilities.

On average, each facility providing postabortion care treated 200 women for complications of induced abortion and miscarriage in 2015. The average annual postabortion care caseload was slightly lower at private facilities (190 women treated per year) than at public facilities (230). Among public facilities, hospitals treated the highest number of cases (850). Private nursing and maternity homes accounted for the largest proportion of postabortion care services: Some 36%, or 436,700 patients, received care in these facilities in Uttar Pradesh in 2015.

TABLE 3

### Annual number of women treated for complications of induced abortion or miscarriage and selected measures of provision of care, Uttar Pradesh, 2015

Facilities	Annual no. of cases	Facilities offering care for complications			
		No.	Average annual no. of cases per facility	% distribution of cases by facility ownership	% distribution of cases by facility type
<b>All</b>	1,224,400	6,057	200	100.0	100.0
<b>Public</b>	355,400	1,522	230	100.0	29.0
Hospitals	142,100	166	850	40.0	11.6
CHCs	115,300	455	250	32.5	9.4
PHCs	86,500	823	110	24.4	7.1
Urban public	11,400	78	150	3.2	0.9
<b>Private</b>	869,000	4,535	190	100.0	71.0
Hospitals	200,000	630	320	23.0	16.3
Nursing and maternity homes	436,700	2,145	200	50.3	35.7
Clinics	232,300	1,760	130	26.7	19.0

NOTES: CHC=community health centre. PHC=primary health centre. Numbers may not add to totals because of rounding. Proportions presented in the text, figures and tables may differ slightly because of rounding. SOURCE: Health Facilities Survey.

## Types of complications treated

The types of medical problems women presented with help us understand how severe their complications were and what interventions and medical resources they may have needed. Knowing women’s diagnosis on admission also helps to assess the extent to which they actually needed treatment, given the high overall level of MMA use (discussed later in this report) and the potential for normal bleeding to be misdiagnosed as a complication.

Survey respondents were asked to estimate the proportion of women with each of the major types of complications, among all women treated for complications related to either induced abortion or miscarriage in their facility. Because women may experience more than one type of complication, multiple responses were permitted. In Uttar Pradesh, incomplete abortion resulting from MMA was the most commonly reported complication, and HFS respondents estimated that it affected more than half of women (59%) obtaining care for complications (Figure 5 and Appendix Table 7). Prolonged or abnormal bleeding, which can result from either abortion or miscarriage, was the second most commonly reported complication type (32%). The third most common diagnosis was incomplete

abortion from methods other than MMA (25%).

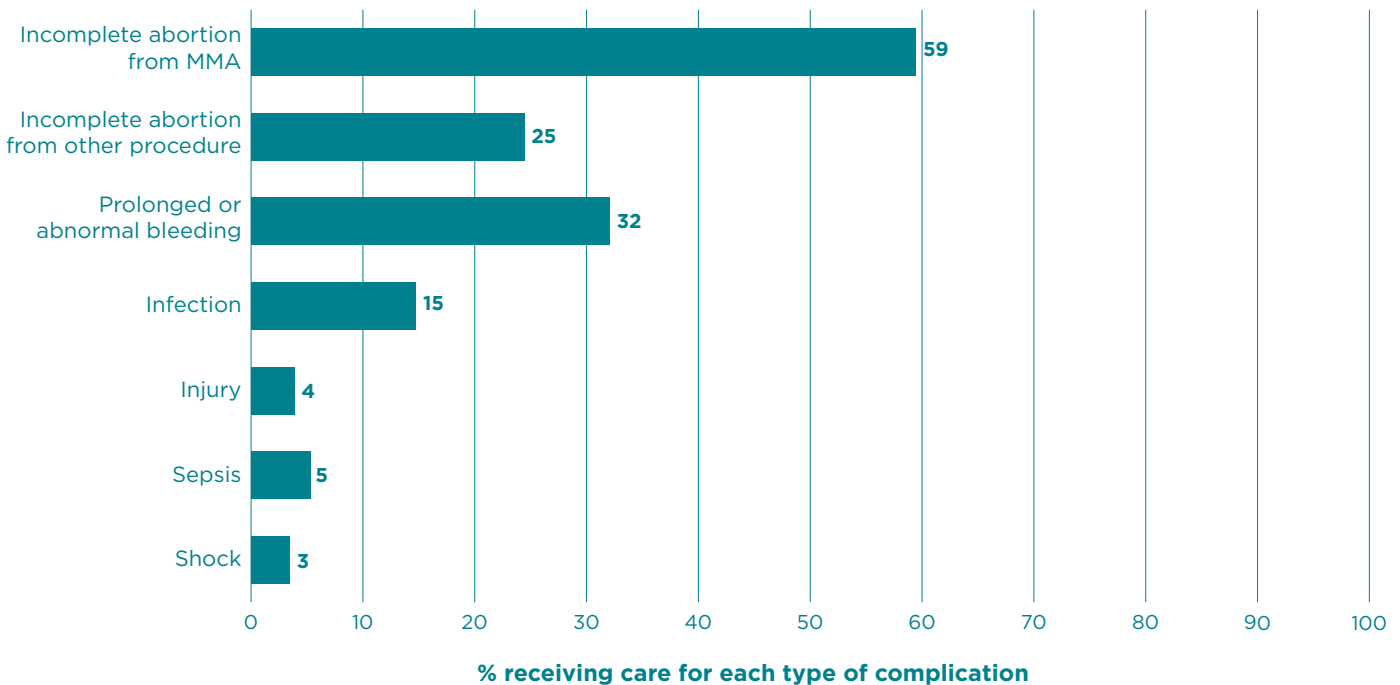
Treatment for incomplete abortion resulting from MMA and prolonged bleeding are likely to be highly overlapping categories, and estimates of the proportion of women treated for these types of complications likely included many cases in which abortions would have been safely completed without need for further intervention, had women been given the correct information and counseling. An unknown proportion of women received needed treatment for incomplete abortion because of incorrect use of this method, but it is likely that this proportion was small, given that MMA using a combination of misoprostol and mifepristone, when administered correctly and within a nine-week gestational limit, is 95–98% effective.<sup>43</sup>

Relatively small proportions of women are estimated to have been treated for severe complications, such as infection of the uterus and surrounding areas, sepsis, shock or physical injuries (e.g., perforation or lacerations)—all of which were assumed to have resulted from induced abortion. However, even these small proportions represent many thousands of women in Uttar Pradesh experiencing these severe complications each year. For example, among women treated for complications of

FIGURE 5

### COMPLICATIONS OF ABORTION AND MISCARRIAGE

#### Among women seeking care for complications at facilities, more than half present with incomplete abortion after using MMA.



NOTES: Some women experienced more than one type of complication. MMA=medical methods of abortion. Proportions presented in the text, figures and tables may differ slightly because of rounding. SOURCE: Health Facilities Survey.

induced abortion, an estimated 15% of patients—164,700 women—received care for infection of the uterus or surrounding areas (the most commonly treated severe complication) in 2015. Four percent of patients treated for abortion complications received treatment for physical injury, 5% for sepsis and 3% for shock. It is not possible to estimate precisely how many women were treated for severe complications overall, as there is some overlap among the categories. The majority of these severe cases likely originated among the group of women having nonfacility abortions using methods other than MMA.

### Number of women treated for postabortion complications

By applying an indirect approach to estimating the number of women who were treated in health facilities for later-term miscarriages, we were able to estimate of the number treated specifically for complications of induced abortion.\*† Approximately 1,098,000 women were treated for induced abortion complications in health facilities in Uttar Pradesh in 2015 (Table 4). This means that 35% of all women terminating pregnancies were treated in health facilities for complications, equivalent to an annual rate of 21.3 women treated per 1,000 women aged 15–49. The induced abortion complication treatment rate in Uttar Pradesh is higher than the rates found in nearby countries with available data: Pakistan (13.9 per 1,000 in 2012),<sup>7</sup> Nepal (8.2 in 2014)<sup>8</sup> and Bangladesh (6.1 in 2014).<sup>6</sup>

However, it is important to note that the treatment rate does not necessarily directly correspond to abortion safety. Depending on the context, low treatment rates could represent either low complication rates or insufficient access to postabortion care.

As mentioned previously, estimates may include some cases in which normal bleeding associated with MMA was misidentified as a complication. If all cases of postabortion care to treat MMA-related incomplete abortion are assumed to be cases in which the abortion would have gone to completion without further intervention, the treatment rate for induced abortion complications that truly needed facility-based care would be 7.2 per 1,000 women annually, a total of 370,300 women. This rate is purely hypothetical but helps to express the lower limits of the complication rate. The true rate of induced abortion complications requiring treatment is likely to be higher than this hypothetical rate but lower than the overall estimate of 21.3 cases per 1,000 women aged 15–49.

\*†From clinical studies, we know the proportion of miscarriages that are not fetal losses but that occur in the second trimester (i.e., at 13–22 weeks’ gestation). Women experiencing these types of miscarriages need postabortion care, but not all of them are able to get it, and those women are not captured in the HFS. To account for this, we estimated the probability of getting care for a second-trimester miscarriage as equal to the probability of delivering in a facility. The resulting estimated number of women seeking care for complications related to late-term miscarriages was then subtracted from the total number of women seeking care for complications to obtain the number of women treated for abortion complications.

TABLE 4

### Selected measures of treatment for complications of induced abortion and miscarriage, Uttar Pradesh, 2015

Complications treated	Weighted no. of women treated for complications of:		Among women who had an induced abortion	
	Abortion and miscarriage	Abortion only	% treated for complications	Treatment rate (cases per 1,000 women aged 15–49)
All (maximum estimate)	1,224,400	1,098,000	35	21.3
Related to MMA	727,700	727,700	23	14.1
Not related to MMA (minimum estimate)	496,700	370,300	12	7.2

NOTES: MMA=medical methods of abortion. MMA-related complications are based on Health Facilities Survey respondents’ estimates of the proportion of all complications cases treated in their facility that were due to incomplete abortion from MMA. Estimates of complications not related to MMA are the total number of patients treated for complications of abortion or miscarriage minus those treated for MMA-related complications. The number of induced abortion complications not related to MMA (370,300) serves as a best estimate of the number of patients who had induced abortion complications that truly needed treatment (in many cases, women treated for symptoms of incomplete MMA may not have needed treatment in a facility). Numbers may not add to totals because of rounding. Proportions presented in the text, figures and tables may differ slightly because of rounding. SOURCES: Health Facilities Survey and indirect calculations.

# Incidence of Induced Abortion and Unintended Pregnancy

**A**bortion incidence is an important indicator of women’s need for safe termination services, and it sheds light on women’s contraceptive behavior and their experience of unintended pregnancy. The UPAI study provides a comprehensive estimate that reflects the full range of methods and providers that women use in Uttar Pradesh. In addition to estimating public- and private-sector abortion provision in health facilities, it estimates abortions in the informal sector, capturing those undertaken via MMA provided by chemists and informal vendors, those performed by untrained providers and those induced by women on their own (see Incidence Methodology, page 21). Our estimation methodology relies on health sector information whenever possible to avoid the high level of stigma-related underreporting that gener-

ally occurs in household surveys that directly ask women about their abortions.<sup>44,45</sup> More detailed information on our estimation methodology is available online (see “supplementary materials” at [https://www.thelancet.com/journals/langlo/article/PIIS2214-109X\(17\)30453-9](https://www.thelancet.com/journals/langlo/article/PIIS2214-109X(17)30453-9)).

## Abortion incidence

We estimated the number of induced abortions in Uttar Pradesh in 2015 to be 3.2 million and the abortion rate to be 61 abortions per 1,000 women of reproductive age (Table 5). The rate for Uttar Pradesh is lower than the rate the UPAI study estimated for Assam (66),<sup>46</sup> but higher than rates for Madhya Pradesh (57),<sup>47</sup> Bihar (49),<sup>48</sup> Gujarat (48)<sup>49</sup> and Tamil Nadu (33).<sup>50</sup>

In Uttar Pradesh, roughly 359,100 induced abortions in 2015—11% of abortions in the state—took place in facilities.\*† As stated previously, 58% were performed using surgical methods and 42% with medical methods. The majority of facility-based abortions took place in private facilities (231,700 or 65%), 32% occurred in public facilities and the remainder took place in NGO facilities; both surgical and medical abortions followed a similar distribution by facility type.

MMA obtained outside of the formal health system accounted for an estimated 2.6 million induced abortions—the large majority (83%) of all induced abortions in Uttar Pradesh in 2015—and they occurred at a rate of 51 per 1,000 women of reproductive age. These MMA users purchased the drugs directly from chemists

\*†These estimates for facility-based abortions differ slightly from those cited earlier in the report because these include official statistics from NGO facilities in addition to public and private facility estimates derived from the HFS.

TABLE 5

### Number, percentage distribution and rate of abortions by method and source, Uttar Pradesh, 2015

Method and source	Weighted no. of abortions	% distribution	No. per 1,000 women aged 15–49
<b>Facility-based abortion</b>	<b>359,100</b>	<b>11.4</b>	<b>7.0</b>
Surgical	207,700	6.6	4.0
Public	72,700	2.3	na
Private	126,400	4.0	na
NGO	8,600	0.3	na
MMA	151,500	4.8	2.9
Public	42,000	1.3	na
Private	105,300	3.3	na
NGO	4,200	0.1	na
<b>Nonfacility abortion using MMA</b>	<b>2,629,800</b>	<b>83.4</b>	<b>51.0</b>
<b>Nonfacility abortion using other methods</b>	<b>162,600</b>	<b>5.2</b>	<b>3.2</b>
<b>Total</b>	<b>3,151,600</b>	<b>100.0</b>	<b>61.1</b>
Low estimate	2,877,100	na	55.8
High estimate	3,434,800	na	66.6

NOTES: Includes abortions provided at NGOs whose data come from sources other than the HFS. The number of women aged 15–49 in 2015 is based on projections of the rate of growth between the 2001 and the 2011 population censuses. Surgical abortion=dilatation and curettage, dilatation and evacuation, and vacuum aspiration. MMA=medical methods of abortion. na=not applicable. Proportions presented in the text, figures and tables may differ slightly because of rounding. SOURCE: See Incidence Methodology.

or other informal vendors, either without a prescription or with a prescription from a private physician who did not work at a facility covered by the HFS.

Another 162,600 abortions in 2015 were not performed in facilities and did not use MMA. These terminations, which represent 5% of all induced abortions in Uttar Pradesh, likely encompass the most unsafe methods performed by quacks and other untrained providers and by pregnant women themselves. They may also include some surgical abortions performed by trained professionals outside of the facilities covered by the HFS.

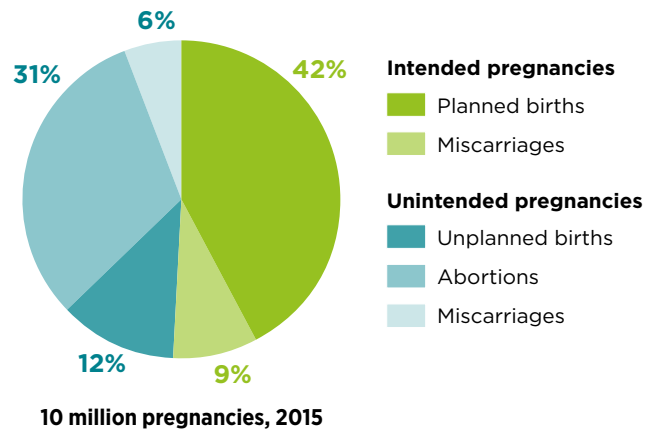
### Characteristics of women having an abortion

Because the HFS was not designed to capture information on the characteristics of women having abortions, we rely on 2015–2016 survey data from the NFHS-4 to ascertain the profile of this group. Among the 23,932 women age 15–49 in Uttar Pradesh who reported that their last pregnancy was in the three years before the survey, only 1,296 reported that that pregnancy ended in abortion (data not shown).<sup>25</sup> This translates into an implausibly low 5.4% of all pregnancies ending in abortions and a rate of only 10 abortions per 1,000 women per year. As noted previously, these data are problematic because women tend to underreport their abortions when interviewed. In addition, pregnancy data in the NFHS-4 is almost exclusively for married women, in part due to stigma surrounding sexual activity among unmarried women. Given the apparent high level of underreporting, women who report having an abortion may not accurately represent all women having abortions. Nevertheless, we do not have reason to believe that

FIGURE 6

### PREGNANCY INTENTION STATUS

Half of pregnancies in Uttar Pradesh are intended.



NOTE: Proportions presented in the text, figures and tables may differ slightly because of rounding. SOURCE: See Incidence Methodology.

some women are more likely than others to underreport abortion, so household survey data remain a useful source of information on the demographic and socioeconomic characteristics of the small group of women who reported having had an abortion in this survey.

Among women in Uttar Pradesh who reported having had an abortion in the past three years, 71% lived in rural areas (Appendix Table 8). Fifty-six percent were categorized as belonging to the socioeconomically disadvantaged castes collectively designated Other Backward Class. These proportions reflect the distribution of Uttar Pradesh’s general population of reproductive-age women according to these characteristics.

Nearly all women who reported having had an abortion were already mothers at the time of the survey. Fifty-six percent had three or more children, and 26% had two children. Fifty-four percent had been married for 6–15 years, and 24% for 16 years or more. By age-group, the greatest proportion of women having an abortion were 25–29 years old (32%).

Among women who reported having had an abortion, 37% had no schooling, 15% had 1–5 years of schooling, 29% had 6–11 years, and 20% had 12 or more years. Their educational attainment was similar to that of the general population of

TABLE 6

### Number, rate and percentage distribution of pregnancies and their outcomes by intention status, Uttar Pradesh, 2015

Pregnancy intention status and outcomes	No.	No. per 1,000 women aged 15–49*	% distribution
<b>Intended</b>	<b>5,101,600</b>	<b>98.9</b>	<b>50.9</b>
Planned births	4,251,300	82.4	42.4
Miscarriages	850,300	16.5	8.5
<b>Unintended</b>	<b>4,924,400</b>	<b>95.4</b>	<b>49.1</b>
Unplanned births	1,214,700	23.5	12.1
Abortions	3,151,600	61.1	31.4
Miscarriages	558,100	10.8	5.6
<b>Total</b>	<b>10,026,000</b>	<b>194.3</b>	<b>100.0</b>

\*Denominator is 51,600,698 women aged 15–49. NOTE: Proportions presented in the text, figures and tables may differ slightly because of rounding. SOURCE: Health Facilities Survey.

women of reproductive age, among whom 36% had no schooling and 23% had 12 or more years.

### **Incidence of unintended pregnancy**

Unintended pregnancy is the precursor to most induced abortions and a key indicator of the need for modern contraception and for the services and information that support effective use. Unintended pregnancies may indicate that women are not using a method, are using the method inconsistently or incorrectly, or are using a relatively ineffective traditional method. Understanding the level of unintended pregnancy in Uttar Pradesh helps us ascertain the extent to which women need contraceptive and abortion services.

We estimated that there were 10 million pregnancies in Uttar Pradesh in 2015. Of these, 51%—or 5.1 million—were intended, and the remaining 49%—or 4.9 million—were unintended (Figure 6, page 19). Nearly two-thirds (64%) of unintended pregnancies ended in an abortion.

Uttar Pradesh's total pregnancy rate was 194 pregnancies per 1,000 women of reproductive age in 2015 (Table 6, page 19). The intended pregnancy rate was 99, and the unintended pregnancy rate was 95. There were 82 planned births and 24 unplanned births per 1,000 women aged 15–49.

The high level of unintended pregnancy highlights the need for improved voluntary and comprehensive contraceptive services for all women of reproductive age—as part of the continuum of care, including in the context of postabortion and postpartum services—to prevent and address unintended pregnancy and unplanned childbearing.



## Incidence Methodology

### Incidence of Abortion

The methodology used by the UPAI study draws heavily on the abortion incidence complications methodology (AICM), an established method for indirectly estimating abortion incidence in countries where safe and unsafe abortion are prevalent but where official statistics are unavailable or highly incomplete.<sup>51</sup> However, because abortion is broadly legal in India and because drug sales data are available there, our study was able to collect more direct data on abortion provision than has been available in most other countries in which the methodology has been used, and we were able to minimize the proportion of abortions that were estimated by indirect methods. We modified the AICM for India by measuring each of three main components of total abortions separately: (1) facility-based abortions, (2) MMA using medications purchased outside of health facilities without the supervision of a facility provider and (3) abortions occurring outside of health facilities that used methods other than MMA. Data available to measure the first two components are good-quality direct estimates, which, when available, are preferred to indirect estimates. The calculations of the abortion incidence estimates are detailed in Appendix Table 9. In addition to showing the medium estimates presented in the text, the table shows the low and the high estimates, which represent the results of various sensitivity analyses conducted.

**Facility-based abortions.** As explained in the Survey Methodology, induced abortions (both surgical and medical) obtained at public and private facilities were captured by the HFS. We also compiled data on abortion provision from Uttar Pradesh's network of large NGOs.

**Nonfacility MMA.** We obtained the number of combipacks (combined mifepristone and misoprostol) and mifepristone-only pills sold in the state in 2015 in the for-profit sector from IMS Health (whose data come from private facility provision and for-profit sales), and

the number provided by the nonprofit sector comes mainly from Marie Stopes International and DKT International. Data for a few smaller networks of NGO providers were obtained either from DKT (Population Services International, World Health Partners, Janani) or independently (Parivar Seva Sanstha, Family Planning Association–India). We used only data from 2015, but we examined earlier years and found there was little difference in the number of combipacks sold in the preceding three years. We did not include misoprostol-only sales because that drug has uses other than inducing abortion, and it was not possible to estimate the quantity used specifically to induce abortions. The broad availability of the combipack implies that use of misoprostol alone to induce abortion is likely to be relatively low. However, if misoprostol is still used by a small proportion of women, abortion incidence will be slightly underestimated.

We applied the following adjustments to the for-profit MMA drug sales data to arrive at the corresponding number of abortions performed using the method.

- We adjusted the mifepristone-only data to account for the fact that women may use more than one mifepristone pill to induce an abortion.<sup>52</sup> We assumed that 80% of women who purchase mifepristone alone (i.e., not in a combipack) take one 200-mg pill, 10% take two and 10% take three.<sup>41</sup>
- We averaged the for-profit sales data among groups of states because some states are focal points for distribution to other states, and sales of MMA in each state do not necessarily reflect use in that state. For-profit sales of MMA drugs in Uttar Pradesh and Uttarakhand were combined, and an average number of MMA packets per 1,000 women of reproductive age was calculated and applied to Uttar Pradesh's population to estimate the for-profit sales of MMA drugs likely to be used in the state.
- We also reduced the sales in Uttar Pradesh to account for MMA packets

suspected to have been sold on the black market to Nepal.<sup>53</sup> We divided the estimated total of 72,000 packets equally among the four states bordering Nepal (Bihar, Uttar Pradesh, West Bengal and Uttarakhand) and thus subtracted 18,000 from Uttar Pradesh's MMA estimate.

- IMS Health reports that their drug sales are 95% complete,<sup>54</sup> so we inflated these numbers by 5% to account for the missing data.

We then summed MMA distributed by nonprofits and the adjusted total sold in for-profit venues, and made the following adjustments:

- On the basis of available studies, we reduced the total by 10% to account for the proportion of MMA drugs likely lost to wastage.<sup>55,56</sup>
- To avoid double-counting women who attempted an abortion using MMA before obtaining a facility-based abortion, we reduced the sales by an additional 5% of facility-based abortions.<sup>57</sup>

Finally, we subtracted MMA provided in private and NGO facilities and those given as prescriptions from public facilities to obtain the number of abortions using MMA provided in nonfacility settings in 2015. This was necessary because MMA administered in public facilities (i.e., provided directly by the doctor and not via prescription) is supplied through government tenders and is not included in for-profit or nonprofit drug sales data.

**Nonfacility abortions using methods other than MMA.** There are no direct sources of information on the number of abortions occurring outside of facilities that use methods other than MMA, so we estimated these indirectly. Two community-based studies conducted in 2009 provide estimates of the proportion of all women having abortions who do so outside of a facility using a method other than MMA: 8% in Maharashtra and 6% in Rajasthan.<sup>44,45</sup> The proportion of women

seeking these types of abortions is expected to have declined with the steady rise in MMA use since those studies took place. We therefore adjusted the average of the estimates from these two studies downward to account for rising MMA use and estimated the proportion in 2015 to be 5% (a drop of approximately 30% over these six years).

**Sensitivity analysis and estimate ranges.** Because we made several assumptions that introduced a degree of uncertainty to our estimates of both MMA and other abortions occurring outside of facilities, we performed sensitivity analyses around these key assumptions. On the basis of available literature and expert opinions, we established low and high parameters for each assumption described above. In addition, using the sample design of the HFS, we calculated standard errors around the number of facility-based abortions to create confidence intervals around the HFS estimates. Using the results of the sensitivity analysis, combined with the low and high confidence intervals, we estimated a range around the total number of abortions.

### Incidence of unintended pregnancy and total pregnancy

To calculate unintended pregnancies by state, we summed the numbers of induced abortions, miscarriages attributable to unintended pregnancies, and unplanned births. The number of unintended pregnancies that end in a miscarriage is based on the biological pattern of pregnancy loss and is estimated to be 20% of unplanned live births plus 10% of induced abortions.<sup>58,59</sup> The number of unplanned births is determined by multiplying the number of births<sup>60</sup> by the proportion of the total fertility rate (TFR) that is unwanted (both the TFR and wanted TFR rates come from the 2015–2016 NFHS-4).<sup>25</sup> In Uttar Pradesh, the TFR is 2.7 and the wanted TFR is 2.1, which means that an estimated 22% of births in the state are unplanned. The number of

intended pregnancies was calculated by summing planned births and miscarriages from intended pregnancies (estimated to equal 20% of planned live births). The sum of all live births, abortions and miscarriages (from intended and unintended pregnancies) yields the total number of pregnancies.

### Calculating abortion rates

The abortion rate is defined as the number of abortions per 1,000 women aged 15–49 in a given year. This study provides the estimated number of abortions for 2015, and the number of women of reproductive age was estimated using projections based on the rate of population growth between the 2001 and 2011 India Censuses, assuming the age distribution remained stable between 2011 and 2015.<sup>24</sup>

## Conclusions and Recommendations

Some of the UPAI study findings are encouraging and provide evidence of gains in regard to the provision of abortion services in Uttar Pradesh. For example, most facilities that provide abortion care offer both MMA and surgical abortion, indicating these facilities can tailor care to each case. In addition, the large majority of facilities that offer abortion-related care also report providing contraceptive services,<sup>39</sup> thus contributing vitally to women's continuum of sexual and reproductive health care. However, our findings also highlight several areas of women's sexual and reproductive health urgently needing attention in Uttar Pradesh. The incidence of abortion and related indicators—including high levels of abortion complications—reflect the need for thorough review of the state's ability to meet the needs for safe abortion services and postabortion care. In addition, the substantial level of unintended pregnancy indicates a need to improve contraceptive services.

Moreover, high rates of unintended pregnancy and abortion not only are indicative of women's inadequate access to and ineffective use of modern contraceptives, they also stem from gender inequity—in families and in society more broadly—that restrict women's access to information and services, and may compromise their ability to negotiate contraceptive use when they do not desire a pregnancy. Despite recent trends toward increased age at first marriage, early marriage remains common in India; women and girls continue to face gender discrimination; and access to sexual and reproductive health services, including safe abortion, is limited. Addressing the root cause of son preference and other forms of gender discrimination is critically important and should be pursued hand in hand with efforts to improve access to safe abortion services.

Below, we make recommendations aimed at increasing and improving the provision of abortion-related and contraceptive services. We also address the need to improve collection of data on abortion going forward.

### Expanding services

Our data reveal several areas in which services must be expanded to meet women's needs.

**Coverage and location of services.** Only 39% of all health facilities offering any abortion-related services are located in rural areas, where 74% of Uttar Pradesh's

women of reproductive age reside. Thus, access to induced abortion care and services to treat postabortion complications is limited for rural women, particularly those who are poor.

PHCs are the first—and often the only—point of contact poor and rural women have with the health system. Yet only 4% of these facilities offer induced abortion and just 23% offer postabortion care. This highlights the need to expand service provision by trained providers at PHCs to include both early abortion using MMA and care for abortion complications. One way to improve access to abortion services in underserved areas is to implement a job rotation system whereby trained providers can work in hard-to-reach areas on a temporary basis to ensure that coverage is both available and consistent.

### Addressing major barriers to abortion provision.

Provision of induced abortion is low even among public facilities that would usually be expected to provide that service: Seventy-seven percent of CHCs and 45% of public hospitals do not offer abortion. One of the key reasons reported in the HFS for why public health facilities do not provide abortion is the lack of equipment and supplies. Adequate funding should be allocated through state Programme Implementation Plans to provide these items on a regular basis and ensure they reach the facilities, and the budgeting system should be simplified to facilitate its accurate use.

A major barrier among private facilities is the lack of registration to provide abortion and the difficulties involved in obtaining such approval.<sup>61,62</sup> Steps are needed to ensure that the District Level Committees responsible for site approval are in place and functional. In addition, accelerated registration should be implemented for private facilities seeking approval to provide abortion using MMA only. Online application options already exist in Uttar Pradesh, which is commendable.<sup>63</sup> Lack of trained providers, another major barrier to provision for both public and private facilities, is discussed below.

**Provision of free or affordable services.** The majority of women obtaining abortions do so outside of the public sector, where they presumably pay out of pocket for services. However, the costs associated with private-sector care are likely a barrier or a burden for many women. It is important to conduct research that collects women's

views on the accessibility and acceptability of current abortion-related services and their reasons for seeking care outside of the public sector. Simultaneously, the health system should work to ensure that free or low-cost abortion services in this sector are confidential, youth friendly and nonjudgmental.

**Provision beyond the first trimester.** Only 16% of facilities that provide abortions offer them in the second trimester, and although these abortions are less frequently requested than earlier terminations, their availability is vital. The most vulnerable women—including those who are poor, young, unmarried or widowed, and those who are victims of sexual violence—may be most likely to experience delays in seeking abortion services because of transportation issues, social taboos and lack of agency.<sup>64</sup> These women, along with those who develop severe health complications or who discover fetal anomalies, are the most likely to be negatively affected by the lack of access to abortions at later gestations. Special efforts should be made to ensure that an adequate distribution of public- and private-sector facilities offer second-trimester abortion services.

Also threatening women's access to safe abortion generally, and second-trimester abortion specifically, is the country's reaction to the adverse sex ratio. The government's response, as part of implementation of the PCPNDT Act has been to restrict abortion services and strictly regulate and monitor providers. Providers have reported that unannounced inspections and harassment by authorities have prompted them to stop providing abortions, especially in the second trimester.<sup>65</sup> Increased communication between those implementing the law and community-level stakeholders is needed to clarify that not all second-trimester terminations are for the purpose of sex selection, to communicate that women are legally entitled under certain circumstances to second-trimester abortion, and to dispel misconceptions about the legal status of abortion. The MoHFW issued guidance in 2015 for ensuring access to abortion services and addressing gender-biased sex selection, and these guidelines should be fully implemented at the district and provider levels.<sup>66</sup>

## Training providers and staff

HFS data indicate that abortion provision in Uttar Pradesh suffers from a lack of qualified providers, and training may be inadequate for those currently providing services. Lack of trained staff is the primary reason public facilities gave for not offering induced abortion services. Expanding the number of qualified, certified abortion providers will require improving access to training and certification for allopathic doctors (those holding MBBS degrees) working in both

the public and private sectors. Approving the proposed amendment to the MTP Act that would allow nurses and ANMs, as well as practitioners trained in Indian systems of medicine with recognized qualifications, to provide MMA would further help address this shortfall in providers.

Second, lack of training is likely a major reason for the overuse of invasive surgical abortion techniques (particularly during the first trimester) observed in the HFS and other sources.<sup>67</sup> Providers should be routinely updated on WHO and national guidelines for abortion provision. In addition, they should be trained (or retrained, as necessary) in recommended techniques, especially MMA and vacuum aspiration.

Third, staff at health facilities sometimes turn away women seeking abortions for reasons that do not accurately reflect legal restrictions or the facility's capacity to provide abortion. Providers who deny services because they perceive a patient as being too young or because she is not married or does not have family members' consent may be acting on bias rather than following guidelines. Regular efforts should be made to ensure that health care providers and other facility staff do not impose unnecessary limitations on abortion provision.

Lastly, social stigma related to abortion creates a barrier to the use of safe services, even where they are offered. This barrier is likely to negatively affect certain vulnerable groups more than other women; unmarried women, for instance, likely face strong stigma because of taboos against premarital sexual activity.<sup>67</sup> Health care providers can help to protect their clients from the potential social costs of seeking an abortion by offering private and confidential services. This calls for training of public-sector staff involved in providing abortions services on so-called soft skills, such as respecting women's privacy and maintaining nonjudgmental attitudes. Accredited social health activists (ASHAs) and ANMs should be priority recipients of such training because they are often the first point of contact for women seeking abortion. In addition, facilities can work to increase the confidentiality of health care visits, including by conducting consultations behind privacy screens, and adopting protocols for speaking to women about sensitive or taboo issues to reassure them that their identity is being protected.

## Educating the public about induced abortion

Providers report that some women seeking abortion may have gaps in their understanding about the circumstances under which it is permitted and where to obtain safe and legal services. Reaching communities with social awareness programs will require working on multiple fronts and engaging a variety of community-based groups. Strategies could include the following:

- Educating providers in order to counteract misinformation about the legal status of abortion.<sup>67</sup>
- Orienting ASHAs and ANMs on abortion-related information. Although these health workers do not perform abortions, they are in frequent touch with the community and have the opportunity to inform women who want to terminate a pregnancy on the law and where to obtain safe services.
- Displaying information at public health facilities that educates visitors about the legality of abortion, safe methods of abortion, and the risks involved in using unsafe methods, going to unqualified providers or using MMA incorrectly.
- Including information about abortion during all types of sexual and reproductive health visits. This would help to reach the large proportions of women who have an institutional delivery and make prenatal care visits, as well as those obtaining contraceptive counseling.
- Actively disseminating the Government of India’s mass media campaign Making Abortion Safer, which was aired on television channels nationally.<sup>68</sup> Efforts could be made to tailor its messages to specific target audiences.
- Offering culturally sensitive sexuality education—both in and out of school settings—to ensure that young people are provided with age-appropriate and accurate information related to all aspects of their sexual and reproductive lives, including information about contraception and abortion.

## Improving MMA services

MMA is safe and highly effective when the correct regimen is followed, and increased provision of this method, both in health facilities and in nonfacility settings, has improved access to abortion care. It has also likely reduced severe abortion-related morbidity: Available data on MMA drug distribution indicate that its use has been replacing the use of traditional and less safe methods of abortion.<sup>69</sup> Continuing to expand MMA provision would likely lead to further reductions in abortion morbidity.

At the same time, the implication in the HFS data that normal bleeding associated with MMA is sometimes misdiagnosed as a complication suggests that women who obtain MMA outside of facilities may be inadequately informed about the method or may have been given incorrect advice to seek treatment in facilities as soon as bleeding begins. In addition, the safety and effectiveness of MMA depend to some extent on the quality of the information given and the user’s adherence to the protocol.

Some strategies to facilitate proper use of MMA include ensuring combipacks have clear and simple instructions in multiple languages, as well as pictorial instructions for women with low literacy. The inserts should describe the

regimen and expected symptoms, and should indicate where to go in case of complications. In addition, it may be beneficial to set up a telephone helpline to provide information to users, to ensure that women who use MMA in a nonfacility setting can do so safely. The helpline number could be printed prominently on MMA packaging and displayed at pharmacies.

Because the great majority of abortions in Uttar Pradesh—more than eight out of every 10—use MMA obtained outside of a health facility, there is a particular need to find out more about the women who obtain MMA this way, their reasons for not using facility-based services, the type of provider they go to and their knowledge of the regimen (e.g., awareness of protocols and normal bleeding). In addition, we need to know more about the extent to which women who seek treatment for complications after taking MMA outside of a facility experience complications that require treatment and the costs they incur.

## Improving access to and quality of postabortion care services

Many of the strategies that will improve abortion services will have the added benefit of improving postabortion care. For instance, increased training in abortion techniques will also bolster the provider skills needed for postabortion care; training about abortion law, countering stigma and providing confidential services will improve providers’ abilities to give high-quality care to patients experiencing abortion complications; and strategies to increase public-sector provision of abortion and postabortion care will go hand in hand.

Other steps can be taken to specifically address the need for improved postabortion care. HFS data show that most complications reported in 2015 were relatively minor, such as bleeding and other non–life-threatening complications resulting from use of MMA without professional guidance. With proper training, relatively low-level medical staff can address these types of complications, and specialized training of a wide provider base in treating these complications would greatly increase access to treatment. In addition, a small but notable proportion of women experience severe complications, so providers should also be trained in best practices for treating infection, sepsis, shock and physical injuries caused by unsafe abortion.

## Ensuring availability and correct use of contraceptives

Levels of unmet need for contraception are considerably higher in Uttar Pradesh than in India as a whole, and as a result, unintended pregnancy and unplanned childbearing persist in the state. Using this evidence, the state should

continue to promote access to contraception but also take additional steps to ensure women and couples are able to meet their fertility goals, including by offering a range of contraceptive methods and improving counseling on how to use them correctly and consistently. The Government of India already recognizes this need and has included it in its postabortion family planning guidelines.<sup>70</sup> The rollout of these guidelines should be prioritized to strengthen women's access to postabortion contraceptive care.

Although nearly all facilities reported in the HFS that they offer contraceptives to abortion clients and postabortion care patients,<sup>39</sup> uptake of modern methods (aside from female sterilization) is still very low. This may signal an unmet need for reversible modern contraception for women who want to delay childbearing. It is important to ensure comprehensive, high-quality contraceptive counseling that addresses women's concerns about use and helps them find the method that best suits them. Failure to provide contraceptive counseling to this segment of the population is a missed opportunity to help women prevent subsequent unintended pregnancies and abortions.

## Improving data collection

To obtain a more complete picture of abortion and post-abortion care—and thus improve the government's ability to address gaps in and barriers to abortion-related services—the MoHFW needs to expand its data collection. Doing so will require making sure the Health Management Information System more comprehensively captures abortion-related services provided in public and registered private facilities. Improving the process for registering private health facilities that provide only MMA and meet requirements for providing this service would create a formal channel for such facilities to report the services they provide, improving the overall coverage of official abortion statistics. Both public and private providers would need to be sensitized about the importance of keeping records on abortion data for reporting to the MoHFW and how to do so correctly and confidentially.

Mechanisms should also be put in place to periodically monitor the quality of abortion services at all levels of public and private facilities. Improving the documentation of abortion service statistics would allow the government to understand on a consistent basis the quality and scope of the services being provided and to gauge the need for improvement or expansion.

## The way forward

Improving and expanding abortion and postabortion care is an important step toward bettering overall measures of sexual and reproductive health in Uttar Pradesh. Greater sexual and reproductive health, in turn, improves the status of women and the well-being of individuals, families and communities. Action must be taken on multiple fronts. Our study's findings provide support for an array of policy and program actions, and for current and ongoing efforts to increase access to and quality of abortion-related services. In addition, our estimates of unintended pregnancy highlight the need for comprehensive contraceptive services—as part of the continuum of care for all women of reproductive age and, specifically, as part of postabortion and postpartum services—to prevent and address unintended pregnancy and unplanned childbearing. Whatever steps are taken must include and prioritize the needs of disadvantaged groups, including poor and rural women, ensuring that no groups are left behind.



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# Appendix Tables

**Percentage distribution of public and private facilities offering abortion-related services, according to urban or rural location, Uttar Pradesh, 2015**

Facilities	Offering induced abortion			Offering postabortion care			Offering any abortion-related services		
	Total	Urban	Rural	Total	Urban	Rural	Total	Urban	Rural
<b>All</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>
Public	21.4	16.3	32.2	25.1	12.5	45.2	25.1	12.3	45.6
Private	78.6	83.7	67.8	74.9	87.5	54.8	74.9	87.7	54.4
No. (weighted)	2,575	1,751	824	6,057	3,709	2,348	6,252	3,844	2,407
<b>Public</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>
Hospitals	25.5	47.7	1.7	10.9	35.0	0.4	10.6	34.2	0.4
CHCs	36.8	29.5	44.6	29.9	29.5	30.1	30.0	31.1	29.6
PHCs	29.5	7.0	53.7	54.0	18.6	69.5	54.4	18.2	70.0
Urban public	8.2	15.9	0.0	5.1	16.9	0.0	5.0	16.5	0.0
No. (weighted)	551	286	265	1,522	462	1,060	1,569	473	1,096
<b>Private</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>
Hospitals	16.3	20.3	5.8	13.9	16.5	7.4	13.8	16.3	7.2
Nursing and maternity homes	57.7	54.1	66.9	47.3	45.6	51.7	47.2	45.5	51.6
Clinics	26.1	25.6	27.3	38.8	37.9	41.0	39.0	38.2	41.2
No. (weighted)	2,025	1,466	559	4,535	3,247	1,288	4,682	3,371	1,311

*Notes:* Postabortion care refers to care for complications resulting from either induced abortion or miscarriage. CHC=community health centre. PHC=primary health centre. Proportions presented in the text, figures and tables may differ slightly because of rounding. *Source:* Health Facilities Survey.

**Among facilities providing induced abortion, percentage distribution by method offered and facility type, Uttar Pradesh, 2015**

Facilities	Weighted no. offering abortion	% distribution of facilities by method category			
		Only MMA	Only surgical abortion	Both MMA and surgical abortion	Total
<b>All</b>	<b>2,575</b>	<b>27.2</b>	<b>10.1</b>	<b>62.7</b>	<b>100.0</b>
<b>Public</b>	<b>551</b>	<b>33.4</b>	<b>9.5</b>	<b>57.1</b>	<b>100.0</b>
Hospitals	141	18.7	6.5	74.8	100.0
CHCs	202	18.6	18.6	62.9	100.0
PHCs	162	58.4	3.3	38.3	100.0
Urban public	45	<b>56.0</b>	<b>0.0</b>	<b>44.0</b>	<b>100.0</b>
<b>Private</b>	<b>2,025</b>	<b>25.5</b>	<b>10.3</b>	<b>64.2</b>	<b>100.0</b>
Hospitals	329	4.7	12.8	82.5	100.0
Nursing and maternity homes	1,167	20.4	10.6	69.0	100.0
Clinics	528	49.9	8.1	42.0	100.0

*Notes:* MMA=medical methods of abortion. Surgical abortion=dilatation and curettage, dilatation and evacuation, and vacuum aspiration. CHC=community health centre. PHC=primary health centre. Proportions presented in the text, figures and tables may differ slightly because of rounding. *Source:* Health Facilities Survey.

**Number of facilities providing postabortion care and proportion offering these services 24 hours a day, seven days a week, by facility type and location, Uttar Pradesh, 2015**

<b>Facilities</b>	<b>Weighted no. of facilities offering PAC services</b>	<b>% of facilities offering PAC that offer it 24-7</b>
<b>ALL</b>	<b>6,057</b>	<b>60.8</b>
<b>OWNERSHIP</b>		
<b>Public</b>	<b>1,522</b>	<b>51.7</b>
Hospitals	166	90.1
CHCs	455	91.6
PHCs	823	24.3
Urban public	78	25.5
<b>Private</b>	<b>4,535</b>	<b>63.9</b>
Hospitals	630	91.1
Nursing and maternity homes	2,145	86.5
Clinics	1,760	26.5
<b>LOCATION</b>		
<b>Urban</b>	<b>3,709</b>	<b>65.6</b>
Public	462	73.9
Private	3,247	64.5
<b>Rural</b>	<b>2,348</b>	<b>53.2</b>
Public	1,060	42.0
Private	1,288	62.4

*Notes:* PAC=postabortion care; refers to care for complications resulting from either induced abortion or miscarriage. CHC=community health centre. PHC=primary health centre. Proportions presented in the text, figures and tables may differ slightly because of rounding. *Source:* Health Facilities Survey.



**Weighted total number of women who had facility-based abortions, average annual number of abortions provided by facilities and percentage distribution of abortions, by facility type, Uttar Pradesh, 2015**

Facilities	Annual no. of facility-based abortions	No. of facilities offering abortion	Average annual no. of abortion cases per facility	% distribution of abortions by facility type	
				Within ownership categories	Overall
<b>All</b>	<b>346,400</b>	<b>2,575</b>	<b>130</b>	<b>100.0</b>	<b>100.0</b>
<b>Public</b>	<b>114,600</b>	<b>551</b>	<b>210</b>	<b>100.0</b>	<b>33.1</b>
Hospitals	79,400	141	570	69.3	22.9
CHCs	14,900	202	70	13.0	4.3
PHCs	11,600	162	70	10.1	3.4
Urban public	8,700	45	190	7.6	2.5
<b>Private</b>	<b>231,700</b>	<b>2,025</b>	<b>110</b>	<b>100.0</b>	<b>66.9</b>
Hospitals	52,700	329	160	22.7	15.2
Nursing and maternity homes	138,400	1,167	120	59.7	40.0
Clinics	40,600	528	80	17.5	11.7
<b>Private registered</b>	<b>159,800</b>	<b>1,121</b>	<b>140</b>	<b>100.0</b>	<b>46.1</b>
Hospitals	49,100	273	180	30.7	14.2
Nursing and maternity homes	101,400	730	140	63.5	29.3
Clinics	9,300	117	80	5.8	2.7
<b>Private unregistered</b>	<b>71,900</b>	<b>904</b>	<b>80</b>	<b>100.0</b>	<b>20.8</b>
Hospitals	3,600	56	60	5.0	1.0
Nursing and maternity homes	37,000	437	80	51.5	10.7
Clinics	31,300	411	80	43.6	9.0

*Notes:* CHC=community health centre. PHC=primary health centre. Total excludes a small number of abortions provided by NGOs not included in the HFS. Numbers may not add to totals because of rounding. Proportions presented in the text, figures and tables may differ slightly because of rounding. *Source:* Health Facilities Survey.

**Percentage distribution of facility-based abortions by gestational duration and by trimester, according to facility type, Uttar Pradesh, 2015**

Facilities	Annual no. of facility-based abortions	% distribution by gestational duration					% distribution by trimester		
		<8 weeks	8–12 weeks	13–20 weeks	>20 weeks	Total	First	Second	Total
<b>All</b>	<b>346,400</b>	<b>67.9</b>	<b>26.7</b>	<b>5.0</b>	<b>0.5</b>	<b>100.0</b>	<b>94.5</b>	<b>5.5</b>	<b>100.0</b>
<b>Public</b>	<b>114,600</b>	<b>61.6</b>	<b>34.7</b>	<b>3.6</b>	<b>0.0</b>	<b>100.0</b>	<b>96.3</b>	<b>3.7</b>	<b>100.0</b>
Hospitals	79,400	59.7	35.2	5.1	0.1	100.0	94.9	5.1	100.0
CHCs	14,900	74.5	24.6	1.0	0.0	100.0	99.0	1.0	100.0
PHCs	11,600	91.1	8.9	0.0	0.0	100.0	100.0	0.0	100.0
Urban public	8,700	17.7	82.3	0.0	0.0	100.0	100.0	0.0	100.0
<b>Private</b>	<b>231,700</b>	<b>70.9</b>	<b>22.7</b>	<b>5.7</b>	<b>0.7</b>	<b>100.0</b>	<b>93.6</b>	<b>6.4</b>	<b>100.0</b>
Hospitals	52,700	66.6	21.2	10.1	2.1	100.0	87.8	12.2	100.0
Nursing and maternity homes	138,400	68.7	26.2	4.9	0.3	100.0	94.8	5.2	100.0
Clinics	40,600	84.4	12.7	2.8	0.0	100.0	97.2	2.8	100.0
<b>Private registered</b>	<b>159,800</b>	<b>65.3</b>	<b>27.0</b>	<b>6.7</b>	<b>1.0</b>	<b>100.0</b>	<b>92.3</b>	<b>7.7</b>	<b>100.0</b>
Hospitals	49,100	64.5	22.4	10.9	2.3	100.0	86.9	13.1	100.0
Nursing and maternity homes	101,400	64.3	30.1	5.2	0.4	100.0	94.4	5.6	100.0
Clinics	9,300	80.9	17.5	1.6	0.0	100.0	98.4	1.6	100.0
<b>Private unregistered</b>	<b>71,900</b>	<b>83.5</b>	<b>13.0</b>	<b>3.5</b>	<b>0.0</b>	<b>100.0</b>	<b>96.5</b>	<b>3.5</b>	<b>100.0</b>
Hospitals	3,600	95.2	4.8	0.0	0.0	100.0	100.0	0.0	100.0
Nursing and maternity homes	37,000	80.6	15.3	4.1	0.0	100.0	95.9	4.1	100.0
Clinics	31,300	85.5	11.3	3.2	0.0	100.0	96.8	3.2	100.0

*Notes:* CHC=community health centre. PHC=primary health centre. Total excludes a small number of abortions provided by NGOs not included in the HFS. Numbers may not add to totals because of rounding. Proportions presented in the text, figures and tables may differ slightly because of rounding. *Source:* Health Facilities Survey.

**Percentage distribution of facility-based abortions by method, according to facility type, Uttar Pradesh, 2015**

Facilities	Annual no. of facility-based abortions	% distribution of abortions by method						Total
		MMA	Surgical				Other	
			All	MVA	EVA	D&C or D&E		
<b>All</b>	<b>346,400</b>	<b>42.5</b>	<b>57.5</b>	<b>10.6</b>	<b>9.1</b>	<b>37.1</b>	<b>0.7</b>	<b>100.0</b>
<b>Public</b>	<b>114,600</b>	<b>36.6</b>	<b>63.4</b>	<b>13.2</b>	<b>6.6</b>	<b>43.1</b>	<b>0.5</b>	<b>100.0</b>
Hospitals	79,400	26.0	74.0	17.5	8.3	47.5	0.7	100.0
CHCs	14,900	53.5	46.5	4.9	5.3	36.3	0.0	100.0
PHCs	11,600	81.4	18.6	4.1	1.2	13.2	0.0	100.0
Urban public	8,700	45.2	54.8	0.0	0.0	54.8	0.0	100.0
<b>Private</b>	<b>231,700</b>	<b>45.4</b>	<b>54.6</b>	<b>9.3</b>	<b>10.3</b>	<b>34.2</b>	<b>0.8</b>	<b>100.0</b>
Hospitals	52,700	29.3	70.7	13.0	14.7	43.0	0.0	100.0
Nursing and maternity homes	138,400	43.1	56.9	10.3	10.3	35.4	0.8	100.0
Clinics	40,600	74.4	25.6	1.2	4.4	18.4	1.7	100.0
<b>Private registered</b>	<b>159,800</b>	<b>37.9</b>	<b>62.1</b>	<b>12.2</b>	<b>11.8</b>	<b>38.1</b>	<b>0.0</b>	<b>100.0</b>
Hospitals	49,100	28.5	71.5	13.9	14.8	42.8	0.0	100.0
Nursing and maternity homes	101,400	39.7	60.3	12.2	11.1	37.0	0.0	100.0
Clinics	9,300	67.9	32.1	3.2	4.0	24.9	0.0	100.0
<b>Private unregistered</b>	<b>71,900</b>	<b>62.2</b>	<b>37.8</b>	<b>3.0</b>	<b>6.9</b>	<b>25.5</b>	<b>2.5</b>	<b>100.0</b>
Hospitals	3,600	40.4	59.6	0.0	13.2	46.4	0.0	100.0
Nursing and maternity homes	37,000	52.4	47.6	5.3	8.3	31.1	3.0	100.0
Clinics	31,300	76.3	23.7	0.6	4.5	16.5	2.1	100.0

Notes: MMA=medical methods of abortion. MVA>manual vacuum aspiration. EVA=electric vacuum aspiration. D&C=dilatation and curettage. D&E=dilatation and evacuation. CHC=community health centre. PHC=primary health centre. Total excludes a small number of abortions provided by NGOs not included in the HFS. Numbers may not add to totals because of rounding. Proportions presented in the text, figures and tables may differ slightly because of rounding. Source: Health Facilities Survey.

**Weighted total number of treated cases of complications related to induced abortion or miscarriage, and proportion of cases by complication diagnosis, Uttar Pradesh, 2015**

Facilities	Annual no. of complication cases	% of cases, by type of complication						
		Incomplete abortion from MMA	Incomplete abortion from any other method	Prolonged or abnormal bleeding	Infection of the uterus/ surrounding areas	Injury/ perforation/ laceration	Sepsis	Shock
All	1,224,400	59.4	24.5	32.1	14.7	3.9	5.3	3.4
Public	355,400	62.9	25.3	28.0	14.4	4.7	4.4	3.8
Private	869,000	58.0	24.2	33.7	14.8	3.6	5.7	3.2

*Notes:* More than one type of complication may be reported per case. Proportions presented in the text, figures and tables may differ slightly because of rounding. *Source:* Health Facilities Survey.

**Profile of married women aged 15–49\* and of those who had an abortion in the three years preceding the survey, Uttar Pradesh, 2015–2016**

Characteristic	All women 15–49			Women 15–49 who had an abortion		
	% distribution	Weighted no.	Unweighted no.	% distribution	Weighted no.	Unweighted no.
<b>Residence</b>						
Urban	26.4	25,751	26,586	29.5	419	463
Rural	73.6	71,910	71,075	70.5	1,002	1,038
<b>Caste/tribe</b>						
Scheduled caste	22.6	22,029	21,552	19.5	277	281
Scheduled tribe	1.2	1,157	1,117	0.6	9	10
Other Backward Class	54.2	52,940	53,653	55.8	794	863
Other/none	22.1	21,535	21,339	23.8	338	344
<b>Age-group</b>						
<20	22.5	22,015	22,032	1.9	26	30
20–24	19.0	18,542	18,719	18.4	262	278
25–29	15.4	15,031	14,952	32.4	460	498
30–34	12.6	12,290	12,204	26.9	382	390
≥35	30.5	29,783	29,754	20.5	291	305
<b>Births</b>						
0	22.6	22,029	35,763	5.9	84	86
1	1.2	1,157	9,491	12.7	181	196
2	54.2	52,940	14,060	25.8	367	395
≥3	22.1	21,535	38,347	55.6	790	824
<b>Marital duration (in years)</b>						
0–2	12.5	8,336	8,478	7.9	110	120
3–5	11.4	7,581	7,644	14.3	201	224
6–15	33.1	22,073	22,083	54.1	758	797
≥16	43.0	28,659	28,660	23.7	332	342
<b>Education (in years)</b>						
None	35.7	34,861	34,656	36.8	523	550
1–5	11.7	11,457	11,632	14.9	212	219
6–11	29.9	29,217	29,800	28.8	409	443
≥12	22.7	22,126	21,573	19.6	278	289
<b>Total</b>	<b>100.0</b>	<b>97,661</b>	<b>97,661</b>	<b>100.0</b>	<b>1,422</b>	<b>1,501</b>

\*Eighteen unmarried women were included in the survey. They were excluded from the "marital duration" measure. *Note:* Proportions presented in the text, figures and tables may differ slightly because of rounding. *Source:* reference 25.

**Calculations for the medium, low and high estimates from the sensitivity analysis of the total incidence and rate of induced abortion, Uttar Pradesh, 2015**

Data inputs	Medium	Low	High
<b>Total for-profit MMA sales (after applying grouped rates)*</b>	<b>2,703,000</b>	<b>2,673,000</b>	<b>2,733,900</b>
No. of combipacks and mifepristone pills sold in for-profit setting†	2,625,700	2,605,600	2,651,000
Reduced to account for illegal export to Nepal‡	18,000	22,500	13,500
Grouped states MMA rate§	52.4	51.8	53.0
<b>Total nonprofit MMA sales**</b>	<b>372,200</b>	<b>372,200</b>	<b>372,200</b>
<b>Total adjusted sum of for-profit and nonprofit MMA sales</b>	<b>2,749,700</b>	<b>2,626,600</b>	<b>2,880,000</b>
Adjustment to account for wastage††	307,520	304,520	310,610
Adjustment to exclude women who used MMA unsuccessfully outside a facility and then had a facility-based abortion‡‡	18,000	22,700	8,700
<b>TOTAL NONFACILITY MMA</b>	<b>2,629,800</b>	<b>2,506,800</b>	<b>2,760,200</b>
Adjustment to exclude MMA in private and NGO facilities (from HFS and NGO service statistics) from adjusted total sales	109,500	109,500	109,500
Adjustment to exclude MMA given by prescription in public facilities from adjusted total sales	10,400	10,400	10,400
<b>TOTAL FACILITY-BASED ABORTIONS (MMA AND SURGICAL)</b>	<b>359,100</b>	<b>284,000</b>	<b>434,200</b>
<b>TOTAL NONFACILITY ABORTIONS USING METHODS OTHER THAN MMA§§</b>	<b>162,600</b>	<b>86,300</b>	<b>240,400</b>
<b>TOTAL INDUCED ABORTIONS</b>	<b>3,151,600</b>	<b>2,877,100</b>	<b>3,434,800</b>
Induced abortion rate (abortions per 1,000 women aged 15–49)	61.1	55.8	66.6

\*For-profit drug sales come from IMS Health. The estimated total count for each state was increased by 5% to account for incomplete coverage (applied to low, medium and high estimates). †Medium estimate assumes (based on expert opinion and literature) that 80% of women using MMA to induce abortion use one mifepristone pill, 10% use two and 10% use three; low estimate assumes ratio of 70%/15%/15%; high estimate assumes ratio of 90%/5%/5%. ‡Medium estimate reduces for-profit MMA drug units by 72,000 across Bihar, Uttar Pradesh, Uttarakhand and West Bengal (18,000 each), to account for black market export to border regions in Nepal (based on expert opinion). Low estimate assumes a per-state reduction by 18,000, plus 25%; high estimate assumes a reduction by 18,000, minus 25%. §Uttar Pradesh was grouped with Uttaranchal, and we calculated a rate (for-profit MMA sales per 1,000 women aged 15–49) for the group of states. The group rate was then applied to population numbers of each state in the group to obtain the number of for-profit MMA sales in each state. \*\*Data primarily from Marie Stopes International and DKT International. Count is comprehensive, with no range around the medium estimate. ††On the basis of available literature sources, we estimate drug wastage to reduce the number of MMA drugs by 10% (medium estimate), 13% (low) or 7% (high). ‡‡On the basis of a study of abortion-seekers in two states, we estimate the proportion of all facility-based abortion clients who attempted an MMA outside a facility before obtaining an abortion in a facility to be 5% (medium estimate), 8% (low) or 2% (high). §§On the basis of community-based studies in two states and national data on the increase in drug sales between 2009 and 2015, we estimate the proportion of abortions in this category to be 5% (medium estimate), 3% (low) or 7% (high). Notes: See Incidence Methodology and online methodology (“supplementary materials” at [https://www.thelancet.com/journals/langlo/article/PIIS2214-109X\(17\)30453-9](https://www.thelancet.com/journals/langlo/article/PIIS2214-109X(17)30453-9)) for sources and more details. Total facility-based abortions include a small number of abortions provided by NGOs not included in the HFS. Calculations based on a projected 2015 female population aged 15–49 of 51,600,698 from Census data. MMA=medical methods of abortion. HFS=Health Facilities Survey. Numbers may not add to totals because of rounding.







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